

Level 20, UOB Plaza 1, Jalan Raja Laut, 50350 Kuala Lumpur, Malaysia  
Tel: 03-2779 0011 Fax: 03-2602 1011

In compliance with the Capital Markets and Services Act 2007, this form should not be circulated unless accompanied by the Prospectus or Information Memorandum where applicable.

Please read and understand the Prospectus(es) and Supplementary Prospectus (if any), Information Memorandum / Replacement Information Memorandum (if any) for the Fund(s) to be invested and the Terms and Conditions as specified overleaf before completing this form.

Note: Use only **BLOCK LETTERS** and **BLACK INK** when completing this form and tick (✓) where applicable.

## 1. PARTICULARS OF APPLICANT

Salutation ☐ Mr ☐ Ms ☐ Mrs ☐ Others - please specify \_\_\_\_\_

Full Name (As per NRIC / Passport) \_\_\_\_\_  
(Note: Applicable to individual with the age 18 years and above only) \_\_\_\_\_

NRIC (New) / Passport No. \_\_\_\_\_ Date of Birth \_\_\_\_\_ (DD/MM/YYYY)

Gender ☐ Male ☐ Female Marital Status ☐ Single ☐ Married  
☐ Divorced ☐ Widowed

Nationality (Please indicate) ☐ Malaysian ☐ Non-Malaysian No. of Dependents \_\_\_\_\_  
☐ Others-please specify \_\_\_\_\_

For Non-Malaysian Tax Resident, please state your Tax Resident Country \_\_\_\_\_

Race ☐ Malay ☐ Chinese ☐ Indian ☐ Others - please specify \_\_\_\_\_

Occupation \_\_\_\_\_ Nature of Business \_\_\_\_\_

Annual Income ☐ Below RM 20,000 ☐ RM 20,001 - RM 60,000 ☐ RM 60,001 - RM 120,000  
☐ RM 120,000 - RM 180,000 ☐ Above RM 180,001

Source of Income ☐ Business ☐ Investment ☐ Employment ☐ Savings ☐ Inheritance  
☐ Others - please specify \_\_\_\_\_

Mother's Maiden Name \_\_\_\_\_

Permanent Address \_\_\_\_\_  
\_\_\_\_\_

Postcode \_\_\_\_\_ City / Town \_\_\_\_\_

State \_\_\_\_\_ Country \_\_\_\_\_

Correspondence Address (Post Office Box is not acceptable) \_\_\_\_\_  
\_\_\_\_\_

Postcode \_\_\_\_\_ City / Town \_\_\_\_\_

State \_\_\_\_\_ Country \_\_\_\_\_

Contact Number (Please provide at least one (1) number) Home \_\_\_\_\_ - \_\_\_\_\_  
Mobile \_\_\_\_\_ - \_\_\_\_\_

Office \_\_\_\_\_ - \_\_\_\_\_

Fax Number \_\_\_\_\_ - \_\_\_\_\_

Email \_\_\_\_\_

By completing the email column above, you will receive statement, annual and/or interim reports, and other information from us via email. If you wish to receive hard copies instead of electronic copies, please tick (✓) here. ☐

## 2. PARTICULARS OF JOINT APPLICANT

Salutation	<input type="checkbox"/> Mr	<input type="checkbox"/> Ms	<input type="checkbox"/> Mrs	<input type="checkbox"/> Others - please specify	
Full Name (As per NRIC / Passport)					
<small>(Note: Applicable to individual with the age 18 years and above only)</small>					
NRIC (New) / Passport No.			Date of Birth	- - (DD/MM/YYYY)	
Gender	<input type="checkbox"/> Male	<input type="checkbox"/> Female	Marital Status	<input type="checkbox"/> Single	<input type="checkbox"/> Married
				<input type="checkbox"/> Divorced	<input type="checkbox"/> Widowed
Nationality <small>(Please indicate)</small>	<input type="checkbox"/> Malaysian	<input type="checkbox"/> Non-Malaysian	No. of Dependents		
	<input type="checkbox"/> Others- please specify				
For Non-Malaysian Tax Resident, please state your Tax Resident Country					
Race	<input type="checkbox"/> Malay	<input type="checkbox"/> Chinese	<input type="checkbox"/> Indian	<input type="checkbox"/> Others - please specify	
Occupation			Nature of Business		
Source of Income	<input type="checkbox"/> Business	<input type="checkbox"/> Investment	<input type="checkbox"/> Employment	<input type="checkbox"/> Savings	<input type="checkbox"/> Inheritance
	<input type="checkbox"/> Others - please specify				
Relationship with Principal Applicant					
Joint Applicant Current Permanent Address <small>(Post Office Box is not acceptable)</small>					
	Postcode		City / Town		
	State		Country		
Contact Number <small>(Please provide at least one (1) number)</small>	Home	-			
	Mobile	-			
	Office	-			
Fax Number	-				
Email					

By completing the email column above, you will receive statement, annual and/or interim reports, and other information from us via email.  
If you wish to receive hard copies instead of electronic copies, please tick (✓) here. ☐

## 3. AUTHORITY TO OPERATE ACCOUNT

(Applicable for Joint Applicant only) Please tick (✓) account operation mode for future transactions.

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Principal Applicant to sign | <input type="checkbox"/> Both Applicant must sign<br><small>(Not applicable for joint application with a minor)</small> | <input type="checkbox"/> Either Applicant to sign<br><small>(Not applicable for joint application with a minor)</small> |
|--|---|---|

## 4. PAYMENT AND BANKING DETAILS

Note: Only bank accounts in Malaysia are accepted. PAYMENT CANNOT BE MADE TO THIRD PARTY ACCOUNTS.

	Malaysian Ringgit (MYR)	Other Currency Classes (e.g. MYR, USD, SGD)
Currency	MYR	
Bank Name		
Account No.		
Account Holder Name		

## 5. COMMON REPORTING STANDARD (CRS) & FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) SELF-CERTIFICATION

### INSTRUCTIONS.

1. "CRS" means the Common Reporting Standard.

UOB Asset Management (Malaysia) Berhad ("UOBAM") is required under the Income Tax (International Tax Compliance Agreements) (Common Reporting Standard) Regulations 2016 ("the Regulations") to collect information about each account holder's tax residency status. UOBAM is required to submit this information to the Inland Revenue Board Malaysia ("IRBM") to comply with the Regulations.

2. "FATCA" means the Foreign Account Tax Compliance Act.

UOBAM is obliged under the Income Tax (International Tax Compliance Agreements) (United States of America) Regulations 2015 to collect each account holder's US/Non-US Person status for FATCA purposes.

3. UOBAM may seek additional information from you after the submission of this form to ensure compliance with the aforementioned Regulations and any other relevant laws enacted to implement CRS and FATCA.

4. To assist you in completing this form, a glossary of terms is attached to this form. The glossary is not a substitute for understanding the requirements of the Regulations. The glossary and this document do not constitute tax advice.

5. UOBAM does not provide tax advice. Please consult your tax, legal and/or other professional advisers if you have any questions on or in relation to CRS and FATCA, the Regulations and the information requested in this Self-Certification Form.

6. Please complete this form if you are an individual Account holder. For joint or multiple Account holders, use a separate form for each individual Account holder. If the Account holder is a minor, this form needs to be completed by the parent or legal guardian of the minor.

7. This form must be signed, dated and please complete all sections in a legible manner.

### 5.1 COUNTRY / JURISDICTION OF RESIDENCE FOR TAX PURPOSES AND RELATED TAXPAYER IDENTIFICATION NUMBER OR EQUIVALENT NUMBER (TIN)

PLEASE FILL IN ONLY NON-US TAX RESIDENCY INFORMATION IN SECTION 5.1. IF YOU ARE A US PERSON, PLEASE ALSO FILL UP SECTION 5.2.

1. Whether a person is tax resident in a particular country depends on whether that person meets the definition of a tax resident under the tax laws of that country.
2. For more information on tax residence, please consult your tax advisor or refer to the information at OECD automatic exchange of information portal.
3. Please complete the following table indicating:-
  - a) All countries and/or jurisdictions (including Malaysia) where you are a tax resident; and
  - b) Your TIN for each country/ jurisdiction indicated.

#### NOTE:

If you are a tax resident in more than three countries / jurisdictions, please use a separate sheet.

If a TIN is unavailable, please provide the appropriate reason A, B or C:

Reason A: The country / jurisdiction where you are a resident for tax purposes does not issue TINs to its residents.

Reason B: You are otherwise unable to obtain a TIN or equivalent number.

(Please provide a written explanation as to why you are unable to obtain a TIN if you have selected this reason).

Reason C: No TIN is required.

(Note: Only select this reason if the authorities of the country of tax residence entered below do not require the TIN to be disclosed).

Country / Jurisdiction of Tax Residence	TIN	If no TIN Available, enter reason A, B or C
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____

IF REASON B IS SELECTED ABOVE, EXPLAIN WHY THE ENTITY IS UNABLE TO OBTAIN A TIN IN THE CORRESPONDING ROW BELOW.

Country / Jurisdiction of Tax Residence	Explanation
1. _____	_____
2. _____	_____
3. _____	_____

☐ I further confirm that I am not a "US Person".

Note: Please proceed to Section 6 if you are not a US Person.

### 5.2 US PERSONS & FORMER US PERSONS

☐ I further confirm that I am not a "US Person".

☐ I was a US Person but I confirm I am no longer a "US Person".

My Taxpayer identification number (TIN) / Social Security Number (SSN) is:

A copy of Certificate of Loss of US Nationality is enclosed.

Tin / SSN (9 digits) \_\_\_\_\_ TIN Country: US

PLEASE REFER DEFINITION OF US PERSON IN THE GLOSSARY.

## 6. DECLARATIONS, AUTHORISATIONS & UNDERTAKINGS

1. I/We acknowledge that I/we have received, read and fully understood the relevant Prospectus(es) and Supplementary Prospectus (if any) for the Fund(s) to be invested in, and agree to be bound by all the terms and conditions in the Fund's Deed and Prospectus including Supplemental Deed(s) and Supplementary Prospectus (if any) thereto, for my/our initial and subsequent transactions with UOB Asset Management (Malaysia) Berhad ("UOBAM").
2. I/We declare that I am/we are neither engaged in any unlawful activity nor are my/our monies obtained from any illegal source or related to any illegal activity.
3. I/We declare that I am/we are in compliance with and undertake that I/ we will comply with all applicable laws and regulations.
4. I/We undertake to provide UOBAM with all information as it may require for the purpose of and in connection with completing the Account Opening Form, including but not limited to, my/our information.
5. I/We hereby acknowledge that I am/we are aware of the fees and charges that I/we will incur directly or indirectly when investing in the Fund(s).
6. For joint applications only - In the absence of expressed instructions on the signatory requirement, I/we acknowledge that the instructions must be given by both of us.
7. For joint applications with minor only - Instructions must be given by the Principal Applicant only. In the event there is a change in the Authority To Operate Account when the minor turns 18 years old, a fresh instruction has to be given by the Principal Applicant.

### IN RELATION TO THE PERSONAL DATA NOTICE

8. In relation to the Personal Data Notice ("Notice") in Section 11. I/We acknowledge that I/we have read and understood the Notice and confirm to the receipt of the Notice consent to the processing of my personal data in accordance with Notice.
9. I/We confirm that all information in this form is accurate and true.

### IN RELATION TO FATCA AND CRS


10. I/We hereby undertake to notify UOBAM and to provide UOBAM with a suitably updated Self-Certification form within thirty (30) days of any change in circumstances which affects the tax residency status of the Account holder named in Section 1 above or causes the information contained herein to become incorrect.
11. I/We agree to provide UOBAM with such documents as UOBAM may require from time to time in its sole discretion for the purpose of UOBAM satisfying itself that the information herein is valid.
12. I/We hereby agree and give consent to UOBAM to redeem my/our units in the Unit Trust Fund or Wholesale Fund that I/We have invested in UOBAM which are not offered to US Person in the event I/We have failed to redeem the units upon being notified by UOBAM.
13. Without prejudice to any other rights or remedies UOBAM may otherwise have, I/we shall indemnify, keep indemnified and hold harmless UOBAM against any and all liabilities, actions, claims, losses, damages, costs and expenses (including but not limited to legal costs on a full indemnity basis) suffered or incurred by UOBAM as a result of, or in connection the information herein being inaccurate, outdated or untrue.

### NOTE:

If you are not the Account Holder, please indicate the capacity in which you are signing the form. An authorised representative or officer must state the capacity in which he/she is signing on behalf of the Account Holder. If this form is completed by an agent acting under a duly authorised Power of Attorney, the form must be accompanied by the Power of Attorney in proper form specifically authorising the agent to represent the Account holder in making, executing and presenting the form.

In relation to the Unit Trust Loan Financing Risk Disclosure Statement


I/We acknowledge that I/we have read, understood and received a copy of the unit trust loan financing risk disclosure statement in section 10 below.


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Principal Account Holder

Date  -  -  (DD/MM/YYYY)


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Joint Account Holder

Date  -  -  (DD/MM/YYYY)

## 7. UNIT TRUST LOAN FINANCING DISCLOSURE STATEMENT

### Investing In a Unit Trust Fund With Borrowed Money Is More Risky Than Investing With Your Own Savings.

You should assess if loan financing is suitable for you in light of your objectives, attitude to risk and financial circumstances. You should be aware of the risks, which would include the following:

1. The higher the margin of the financing (that is, the amount of money you borrow for every Ringgit of your own money which you put in as deposit or down payment), the greater the loss or gain on your investment.
2. You should assess whether you have the ability to service the repayments on the proposed loan. If your loan is a variable rate loan, and if interest rates rise, your total repayment amount will be increased.
3. If unit prices fall beyond a certain level, you may be asked to provide additional acceptable collateral (where units are used as collateral) or pay additional amounts on top of your normal instalments. If you fail to comply within the time prescribed, your limits may be sold towards the settlement of your loan.
4. Returns on unit trusts are not guaranteed and may not be earned evenly over time. This means that there may be some years where returns are high and other years where losses are experienced. Whether you eventually realise a gain or loss may be affected by the timing of the sale of your units. The value of units may fall just when you want your money back even though the investment may have done well in the past.

This brief statement cannot disclose all the risks and other aspects of loan financing. You should therefore carefully study the terms and conditions before you decide to take a loan. If you are in doubt about any aspect of this Risk Disclosure Statement or the terms of the loan financing, you should consult the institution offering the loan.

## 8. FOR DISTRIBUTOR / CONSULTANT / STAFF USE ONLY

### AUTHENTICATION OF IDENTITY

In compliance with Section 16(2) of Anti-Money Laundering and Anti-Terrorism Financing Act 2001, I hereby confirm the following:

☐ Original identity document(s) sighted.

☐ Photocopy of identity document(s) attached.

Name

NRIC No.

Agent Code

Date

Signature

## 9. FOR OFFICE USE ONLY

Form Verified By

Processed by

Initial

Name & Initial

Date

Date

RM Name

Segment

Branch

UT/FD Bundle or UT only (Circle whichever applicable)

RM Name

Segment

Branch

UT/FD Bundle or UT only (Circle whichever applicable)

## 10. SOPHISTICATED INVESTORS

### APPLICABLE FOR WHOLESALE FUNDS ONLY.

We hereby declare that this declaration is accurate and will furnish any documentation for UOB Asset Management (Malaysia) Berhad to ascertain the accuracy of our declaration. In any event, we hereby undertake and confirm to indemnify and keep UOB Asset Management (Malaysia) Berhad indemnified in respect of fines, penalties, cost, expenses and or any losses which it may incur or suffered in the event of this Declaration is incorrect, inaccurate and/or false in any way.

### THIS DECLARATION FORM IS TO BE COMPLETED WHEN YOU INVEST IN A WHOLESALE FUND ONLY.

(Note: Principal and joint applicant must be 18 years old and above. Both need to declare that they are sophisticated investors.)

I/We\* hereby declare and confirm that I/we\* am/are\* qualified to invest in the Fund as stated above. I/We\* acknowledge that UOBAM accepts the investment into the Fund on the basis of this Declaration. I/We\* further confirm that this Declaration is accurate as to the matter stated herein and am/are\* prepared to furnish any documentary evidence to establish the accuracy of this Declaration. In any event, I/we\* agree to indemnify and keep UOBAM indemnified in respect of any costs, expenses, fines, penalties, or any other losses, which it may suffer or incur in the event of this Declaration is untrue or incorrect in any way.

<b>Principal Applicant / Authorised Signatory 1</b> <b>Date:</b> <input type="checkbox"/> I declare that I am a Sophisticated Investor	<b>Principal Applicant / Authorised Signatory 2 (if any)</b> <b>Date:</b> <input type="checkbox"/> I declare that I am a Sophisticated Investor	<b>Company Seal or Stamp</b>
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### Notes To Read Before Completing This Declaration Form (Investment in Wholesale Fund Only)

You are advised to read and understand the Information Memorandum and Supplemental Information Memorandum(s) (if any) relating to the Fund before investing in the Fund and for additional terms including but not limited to, minimum initial and subsequent investment amount.

PLEASE TICK TO ACKNOWLEDGE THE APPROPRIATE STATEMENT(S) PROVIDED BELOW.

Categories of Investor	Definition
A. High-Net Worth Individual	<p>An individual:</p> <p><input type="checkbox"/> whose total net personal assets exceeding three million ringgit or its equivalent in foreign currencies, provided that the net value of the primary residence of the individual contributes not more than one million ringgit of the total net assets;</p> <p><input type="checkbox"/> whose total net joint assets with:</p> <p><input type="checkbox"/> his or her spouse; or</p> <p><input type="checkbox"/> his or her child,</p> <p>exceeding three million ringgit or its equivalent in foreign currencies, provided that the net value of the primary residence of the individual with his or her spouse or child contributes not more than one million ringgit of the total net assets;</p> <p><input type="checkbox"/> who has a gross annual income exceeding three hundred thousand ringgit or its equivalent in foreign currencies in the preceding twelve months;</p> <p><input type="checkbox"/> who jointly with his or her spouse or child, has a gross annual income exceeding four hundred thousand ringgit or its equivalent in foreign currencies in the preceding twelve months;</p> <p><input type="checkbox"/> whose total net personal investment portfolio or total net joint investment portfolio with his or her spouse or child, in any capital market products exceeding one million ringgit or its equivalent in foreign currencies;</p> <p><input type="checkbox"/> who holds any of the following qualifications and has five consecutive years of relevant working experience in finance, economics, actuarial science or accounting:</p> <p><input type="checkbox"/> holds a Bachelor's or Master's degree related to Finance, Economics or Actuarial Science;</p> <p><input type="checkbox"/> holds a Bachelor's or Master's degree in Accounting; or</p> <p><input type="checkbox"/> holds a Master of Business Administration;</p> <p><input type="checkbox"/> who holds the following membership in the associations as set out below:</p> <p><input type="checkbox"/> Active Member of Chartered Financial Analyst (CFA) Institute;</p>
B. Consideration > RM250,000	<p><input type="checkbox"/> An individual who acquires unlisted capital market products where the consideration is not less than two hundred and fifty thousand ringgit or its equivalent in foreign currencies for transaction whether such amount is paid for in cash or otherwise.</p>

## FILE SPECIFIC INFORMATION

Date Undertaken / Date of Review	
Adviser's / Unit Trust Consultant (UTC)'s Name	
Product Issuer	UOB Asset Management (Malaysia) Berhad
Name of Product ( <i>fund name</i> )	
Category of Product ( <i>fund category to follow Prospectus</i> )	

## 11.1 KNOW YOUR INVESTOR PROCESS

Name (as per NRIC / Passport) : \_\_\_\_\_

NRIC / Passport No. : \_\_\_\_\_

Age at time of Recommendation : \_\_\_\_\_

Contact No. : \_\_\_\_\_

Email Address : \_\_\_\_\_

## INVESTOR'S DETAILS AND EMPLOYMENT STATUS

1) Marital Status:	<input type="checkbox"/> Single <input type="checkbox"/> Divorced <input type="checkbox"/> Others - please specify <input type="checkbox"/> Married, Number of dependents _____
2) Employment Status:	<input type="checkbox"/> Employed - Occupation _____ <input type="checkbox"/> Self-Employed - Nature of business _____ <input type="checkbox"/> Unemployed <input type="checkbox"/> Retired <input type="checkbox"/> Others - please specify _____
3) Education Qualifications:	<input type="checkbox"/> SPM & below <input type="checkbox"/> STPM <input type="checkbox"/> Diploma <input type="checkbox"/> Degree <input type="checkbox"/> Professional (i.e. Doctor, Engineer, lawyer etc) <input type="checkbox"/> None of the above
4) Annual income (RM)	<input type="checkbox"/> 30,000 & below <input type="checkbox"/> 30,001 - 60,000 <input type="checkbox"/> 60,001-120,000 <input type="checkbox"/> Above 120,000

## 11.2 INVESTOR'S FINANCIAL SITUATION

1) Monthly disposable income (RM)	<input type="checkbox"/> 5,000 & below	<input type="checkbox"/> 5,001 - 8,000	<input type="checkbox"/> 8,001-15,000	<input type="checkbox"/> 15,001 & above
2) Total monthly commitment (RM)	<input type="checkbox"/> 2,000 & below	<input type="checkbox"/> 2,001 - 5,000	<input type="checkbox"/> 5,001-10,000	<input type="checkbox"/> 10,001 & above
3) Percentage of investment of your net assets (exclude your residential property)	<input type="checkbox"/> 10% & below <input type="checkbox"/> 41%-50%	<input type="checkbox"/> 11%-20% <input type="checkbox"/> 50% & above	<input type="checkbox"/> 21%-30%	<input type="checkbox"/> 31%-40%

## 11.3 INVESTOR'S INVESTMENT KNOWLEDGE ASSESSMENT

Current investment portfolio (if any)

- ☐ Unit trust / PRS funds \_\_\_\_\_ years
- ☐ Trading on Bursa Malaysia \_\_\_\_\_ years
- ☐ Futures / Options / Other derivatives \_\_\_\_\_ years
- ☐ Property \_\_\_\_\_ years

## 11.4 INVESTOR'S NEED ANALYSIS

1. How long do you intend to invest your money?

- a) Less than 1 year
- b) 1 to 3 years
- c) 3 to 5 years
- d) 5 to 10 years
- e) More than 10 years

Investor	Points
	1
	2
	3
	4
	5

2. When do you expect to begin taking withdrawal(s) from your account?

- a) In less than 2 years
- b) In 2 to 4 years
- c) In 5 to 7 years
- d) In 8 to 10 years
- e) In 11 years or more

Investor	Points
	1
	2
	3
	4
	5



3. Which of the following best describe your purpose of investing?

- a) You are a retiree or not working and want a regular stream of income to maintain your lifestyle.
- b) You have some specific objectives within the next 5 years for which you want to save enough money or you are nearing retirement and you are investing to ensure you have sufficient funds available to enjoy your retirement.
- c) You have a lump sum (for example, an inheritance, company bonus or a lottery win) and you are uncertain about what secure investment alternatives are available.
- d) You are not nearing retirement, have surplus funds to invest and you are aiming to accumulate long term wealth from a balanced portfolio, comprising shares, property, fixed interest and cash.
- e) You are investing for growth in assets and is accumulating long term wealth.

Investor	Points
	1
	2
	3
	4
	5

4. What is your investment objective?

- a) Capital Preservation
- b) Income
- c) Capital Preservation & Income
- d) Income & Growth
- e) Growth

Investor	Points
	1
	2
	3
	4
	5

5. How would you react if your investments were to decline in value by 20% over a one-year period?

- a) Withdraw all my funds immediately and move them to bank deposits.
- b) Withdraw part of my money and move it to an alternative strategy.
- c) Wait until I recovered the 20% loss and then consider alternative strategies.
- d) Remain invested and follow the recommended strategy.
- e) Increase the amount invested if possible because the market has become cheaper.

Investor	Points
	1
	2
	3
	4
	5

6. Which statement best describes your understanding of financial markets and investments?

- a) I am not familiar and have little interest in them.
- b) I am not very familiar.
- c) I have had enough experience to understand the importance of diversification.
- d) I understand that markets may fluctuate and that different market sectors offers different growth.
- e) I am experienced with all investment sectors and understand the various factors that influence performance.

Investor	Points
	1
	2
	3
	4
	5

## RECOMMENDATION

The following table indicates the attitude towards investment risk that a typical investor is expected to have based on the overall scores determined from your responses to the questions above.

Score	Risk Profile	Description
6-14	Conservative	You are a low risk investor. It is compatible with investments that aim to provide capital preservation and income.
15-22	Moderate	You have some tolerance for risk. It is compatible with investments that aim to provide both regular income and capital appreciation.
23-30	Aggressive	You are willing to accept greater level of risk and accept possible principal loss. It is compatible with investments that aim to provide higher capital appreciation.

Based on the answers you have provided, your total points is \_\_\_\_\_.

Using the above definition, your general attitude towards investment risk is likely to be

\_\_\_\_\_.

Basis of Recommendation:

\_\_\_\_\_

\_\_\_\_\_.

Please comment if the recommended fund(s) is not matched with the investor's risk profile.

Remarks: \_\_\_\_\_

\_\_\_\_\_  
Investor's Signature

Full Name as per NRIC : \_\_\_\_\_

Date (DD/MM/YYYY) : \_\_\_\_\_

## 11.5 VULNERABLE CLIENTS (TO BE FILLED BY INTERNAL STAFF)

	Categories	Check Box
1	Disabilities* - clients with disabilities that may affect their ability to make an informed decision.	<input type="checkbox"/>
2	Life events - clients who have experienced adverse life events resulting in temporary or long-term financial hardship such as unemployment, or death or total permanent disability of the main breadwinner.	<input type="checkbox"/>
3	Financial resilience - clients with a low ability to withstand financial shocks such as clients who are overly-indebted, have cash flow problems or have no savings.	<input type="checkbox"/>
4	Capability - clients with low knowledge of financial matters, low confidence in managing money or low capability in other relevant areas such as literacy, language or digital skills.	<input type="checkbox"/>
5	Age - Senior citizens who may be less technologically able.	<input type="checkbox"/>

\*Refers to long-term: (a) hearing impairment; (b) visual impairment; (c) speech impairment; (d) physical impairment; or (e) learning impairment such as dyslexia or low spectrum autism.

	Categories	Check Box
1	Can the client hear and understand what is being said? Does the client ask the speaker to slow down or to speak louder? Does the client repeatedly ask to clarify what is being said?	<input type="checkbox"/>
2	Does the client stay on topic and hold a conversation that is coherent, or does he appear distracted or confused? Are the client's questions relevant to and typical for the discussion being had?	<input type="checkbox"/>
3	Does the client take an unusually long time to answer a question or struggle to process the information provided to him? Is he coherent and fluent in the language being used?	<input type="checkbox"/>
4	Does the client indicate that he may have a disability or impairment based on his voice, pronunciation, breathing, hearing or ability to understand the conversation?	<input type="checkbox"/>

## SIGN OFF BY (FOR AUTHORISED UNIT TRUST CONSULTANT)

Name : _____ Date : _____ (DD/MM/YYYY)	Signature :
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### 11.6 ACKNOWLEDGEMENT (TO BE FILLED BY CLIENTS)

PLEASE TICK TO ACKNOWLEDGE THE APPROPRIATE STATEMENT(S) PROVIDED BELOW.

1	I confirm that all information disclosed is true, complete and accurate.	<input type="checkbox"/>
2	I acknowledge receipt of a copy of the Product Highlights Sheet (PHS) and all relevant disclosure documents and the Authorised Unit Trust Consultant has explained clearly and I have understood the features and risks of the fund.	<input type="checkbox"/>
3	I agree with the result of this suitability assessment and wish to proceed with the investment recommendation.	<input type="checkbox"/>
4	I have decided to purchase into another category of fund(s) that does not match with my risk profile and i understand the different risks of the fund(s).	<input type="checkbox"/>
5	I hereby acknowledge that I have received a copy of this Investor Suitability Assessment Form.	<input type="checkbox"/>

## 12. TERMS AND CONDITIONS OF THE UOB ASSET MANAGEMENT (MALAYSIA) BERHAD SUBSCRIPTION TRANSACTION

Please read the following Terms and Conditions before completing the Account Opening Form. By applying for units in any Fund(s) managed by UOB Asset Management (Malaysia) Berhad ("UOBAM"), the applicant(s) agree(s) to and will be bound by the following Terms and Conditions, the Deed and Supplemental Deed(s) (if any), the Prospectus(es) and Supplementary Prospectus (if any) of the relevant Fund(s), [which Deed and Supplemental Deed(s) (if any) are hereinafter referred to collectively as "the Deed" and the Prospectus(es) and Supplementary Prospectus (if any) are hereinafter referred to collectively as "the Prospectus"] in respect of ALL transactions. Where there are conflicting terms, the terms of the relevant Deed shall Prevail.

### 1. IMPORTANT POINTS TO NOTE BEFORE COMPLETING THIS FORM

- a) THE APPLICANT(S) MUST NOT USE CORRECTION FLUID on the Account Opening Form (AOF)
- b) Where there is ANY CORRECTION OR AMENDMENT, the APPLICANT(S) MUST SIGN next to the correction or amendment to indicate that the change is duly authorised by the applicant(s).

### 2. ELIGIBILITY

- a) To apply for units, you must be 18 years old and above on your last birthday.

#### Joint Applicant

- i) You may nominate a Joint Applicant for your account. If the Joint Applicant is below 18 years old, the AOF must be accompanied by a copy of documentary evidence of the minor's age (e.g. birth certificate of identity card). UOBAM will only act on instruction given by the Principle Applicant.
- ii) Joint application is NOT allowed for EPF Plan.
- b) For joint application, all correspondences relating to the investment units of the relevant fund will be sent to the First Applicant.
- c) All applications must be accompanied by a copy of the applicant's identity card or passport.
- d) UOBAM reserves the right to request additional documents from the applicant(s) to support the application.

### 3. RIGHTS OF UOBAM

UOBAM reserves the right to:

- a) accept or reject any applications in whole or in part without assigning any reason whatsoever;
- b) request for additional documents from the applicant to support the application; and
- c) vary these Terms and Conditions at any time without notifying the applicant(s).

### 4. PAYMENT FOR INVESTMENT

- a) The complete AOF and Subscription Form should be accompanied by payment and submitted to any UOBAM's office or its authorised representatives. Please refer the respective Annexure in the Subscription Form for payment account details.
- b) The allotment of units will be based on the date of receipt of a complete purchase request subject to payment confirmation.
- c) UOBAM does not accept any cheque issued by a third party for the account of the applicant(s) (referred to as "third party cheques").

### 5. AUTHORITY TO OPERATE FUTURE TRANSACTIONS AND INSTRUCTIONS (FOR)

**Principal Applicant to sign:** UOBAM will only act on instructions given by the Principal Applicant.

**Both Applicants must sign:** UOBAM will only act on instructions given jointly by both parties (both applicants must be 18 years old and above).

**Either Applicants to sign:** UOBAM will only act on instructions given by either of the applicant (both applicants must be 18 years old and above). For joint application with a minor, UOBAM will only act on instructions given by the Principal Applicant. In the event there is a change in the Authority To Operate Account when the minor turns 18 years old, a fresh instruction has to be given by the Principal Applicant.

In the event of the death of any registered Joint Applicant, the survivor of the Joint Account will be the only person recognised by UOBAM and the Trustee as having the title or interest in the investment units, except where such units have been transferred to a financial institution, if such units are used as collateral. If the surviving Joint Applicant is a minor, UOBAM and the Trustee shall recognise the estate of the Principal Applicant as having the title or interest in the investment units.

### 6. CHANGE OF APPLICATION DETAILS

UOBAM must be notified immediately in writing of any change to the correspondence address or resident status or other particulars stated in this form. If the applicant is a Malaysian company, the applicant is required to submit a certified true copy of Form 44 (Notice of Situation of Registered Office and Office Hours and Particulars of Changes) to UOBAM.

### 7. INDEMNITY

The applicant(s) hereby agree(s) to indemnify UOBAM, the Trustee(s) and any of their authorised representatives against any losses, damages, costs and expenses which may be suffered or incurred by any or all of them arising whether directly or indirectly out of or in connection with UOBAM accepting, relying on or failing to act on any instructions given by or on behalf of the applicant(s) unless due to the wilful default or negligence of UOBAM.

### 8. NOTICES

Notices and communication are sent at the risk of the applicant(s). UOBAM shall not be liable for the interruption, error or failure in transmission or delivery of notices unless due to its wilful default or negligence.

### 9. LEGAL AND TAX IMPLICATIONS

The application shall acquaint himself with the relevant tax laws and exchange control regulations in force in the countries of the applicant's citizenship, residence or domicile.

### 10. PERSONAL DATA NOTICE

In compliance with the requirements of the Personal Data Protection Act 2010 ("the PDPA"), we are required to give you notice of the manner we deal with your Personal Data (as defined in the PDPA) and would like to highlight the choices you have to access or limit our access and means of processing your Personal Data. The full terms are as set out in attached Personal Data Notice.

### 11. GLOSSARY

#### a) Definition of "US PERSON"

- i) A US citizen or resident individual,
- ii) A partnership or corporation organized in the US or under the laws of the US or any state of the US,
- iii) A trust if (i) a court within the US would have authority under applicable law to render order or judgments concerning substantially all issue regarding administration of trust, and (ii) one or more US Persons have the authority to control all substantial decisions of the trust, or an estate of a decedent that is a citizen or resident of the US; As interpreted in accordance with the US Internal Revenue Code.

This definition shall be interpreted in accordance with the US Internal Revenue Code. Please note that persons who have lost their US citizenship and who live outside the US may nonetheless in some circumstances be treated as US Persons.

- b) If you hold multiple citizenships and one of them is US, you are a US person.
- c) If you have a US Green Card, you are a US person.
- d) If you were born in the US or a US Territory ( Puerto Rico, Guam, US Virgin Islands or Northern Mariana Islands ), you are a US Person.
- e) If you meet the substantial presence test\*\*. you are a US person.

\*\* To meet this test, you must be physically present in the United States at least:

- a) 31 days during the current year, and
- b) 183 days during the 3-year period that includes the current year and the 2 years immediately before that, counting:
  - (i) All the days you were present in the current year, and
  - (ii) 1/3 of the days you were present in the first year before the current year, and
  - (iii) 1/6 of the days you were present in the second year before the current year.

### 13. PERSONAL DATA NOTICE

UOB Asset Management (Malaysia) Berhad ("the Company", "our", "us", or "we") is a holder of a Capital Markets Services License issued under the Capital Market and Services Act 2007 permitting the Company to carry out regulated activities of fund management and dealings in securities (restricted to unit trust).

In the course of the Company carrying out the aforesaid permitted activities, the Company may collect, record, hold, store or process your Personal Data (as defined in the Personal Data Protection Act 2010). We have always (and will continue to do so) respected the privacy and confidentiality of all the personal information we have received and collected in the course of the provision of our services to and/or our dealings with you and taken all reasonable steps to ensure the proper safeguard of such information.

In furtherance of our commitment above, we now write, in compliance with the express requirements of the Personal Data Protection Act 2010 to inform you of the manner we deal with your Personal Data and would highlight the choices you have to access or limit our access and means of processing your Personal Data.

However, we regret and are reminded to inform you that any such limit action of access or use of your Personal Data which are obligatory for you to supply to us may affect the provision of the services and products you have requested of us.

#### Description of the Personal Data We Collect and Process

Although the precise details and scope of the Personal Data collected may vary according to the services and products you have requested for and the specific purposes of use, the Personal Data usually collected for processing will be as follows:

1. Name;
2. Contact Details;
3. NRIC/Passport Numbers (For Business/Body Corporate(s) Business/ Company Registration Details);
4. Nationality (Resident or Non-Resident);
5. Financial Data relevant to your Account with UOBAMM including data relating to your accounts with other financial institutions
6. Other Personal Data derived from:
  - Supporting Documents such as Board Resolutions and Letter of Authorization, Forms 44 (Notice of Registered Office etc.), 49 (Register of Directors etc.), Form 25 (Particulars of Share Allotment), Specimen Signatures Forms
  - All responses and information gathered from the client/investor during the conduct of a suitability or similar other assessments carried out by us before any recommendation of any product or services;
  - Legal Documentation such as Power of Attorney;
7. Gender and Race;
8. Other Data obtain from:-
  - your employers;
  - credit reporting agencies/bureaus and statutory/regulatory agencies including the Companies Commission of Malaysia and Bank Negara Malaysia;
  - financial institutions such as banks (for example, that arising from the opening or closing of accounts) and related financial service providers;
  - other sources which you have given your consent to share and disclose personal information relating to you; and
  - your professional advisers including your tax and legal consultants.

#### The Purposes for Collection and Processing of Personal Data

The Personal Data collected will be processed for the following purposes:-

1. To assess your application for our products and services (including credit and risk evaluation and review of your portfolio and investment mandates) and subsequent reviews of your account(s) with us;
2. For management of your account (including risk management and audit) and to facilitate the operational processes in order to provide the services and products applied for or requested by you;
3. To comply with relevant local and foreign legal or regulatory requirements (including AMLATFPUAA checks, compliance and reporting requirements) or as directed by any regulatory or government authorities/agencies including the Securities Commission and Bank Negara Malaysia or as directed by any professional organizations of which we are a member or which has oversight over us such as the Federation of Investment Management Malaysia;
4. For administrative and operational purposes including the maintenance and updating of our records/database, billing/payment purposes and research reporting;
5. For determination of your indebtedness and debt recovery purposes;
6. To keep you duly notified of new products or services and promotions offered by us or our related or affiliated companies by way of various notices and marketing and promotional materials and emails or phone calls;
7. To attend to queries/concerns and investigations of your complaints' and
8. For such other purpose that is incidental or ancillary to the above purposes.

We may require your assistance if the Personal Data relating to other persons is required to process your Personal Data for the above purposes and you hereby agree to use your best endeavors to assist us when required.

#### Disclosure of Your Personal Data

Your Personal Data will always be kept private and confidential but we may disclose your Personal Data to the following parties:-

1. Our Directors, managers and officers and dealer representatives for purposes relating to your application and subscription to our products and services
2. Our parent company and other companies within the UOB Group and other affiliated companies;
3. Regulatory or government authorities such as the Securities Commission, Bank Negara Malaysia and Bursa Malaysia and Inland Revenue Bureau and the Monetary Authority of Singapore (which regulates our holding in Singapore) and other regulatory (in Malaysia or elsewhere) or any professional organizations of which we are a member or which has oversight over us such as Federation of Investment Manager of Malaysia;
4. As required or authorized by or under any law or by Order of Court or other legal process or regulatory directive issued by a law enforcement agency;
5. Any third party service providers or agents who have been appointed by you or us to provide ancillary services to us whether in or outside Malaysia (subject to adequate security and data protection controls put in place and/or them providing confidentiality undertakings) including but not limited to trustees/custodians, brokers, analysts and market researchers;
6. Rating agencies and market research companies;
7. Our auditors, legal advisers, tax and other professional advisers; and
8. Parties authorized by you (for example, your agent, authorized proxy or spouse).

#### Safeguarding of your Personal Data

Please take note that your Personal Data may be stored or processed to or in locations or systems in jurisdictions outside Malaysia (where necessary to facilitate the provision of our services and products to you) subject to those jurisdictions having similar data protection laws in place and/or our securing reciprocal confidentiality undertakings. Please be assured that we will take all necessary practical steps including but not limited to incorporating reasonable security measures into any equipment in which your Personal Data is stored, to protect your Personal Data from any loss, misuse or unauthorized access or disclosure.

#### Your Rights to Access, Correction or Updating of your Personal Data

You may request for access to your Personal Data and be able to correct that part of your Personal Data which is inaccurate, incomplete, misleading or not up-to-date except where compliance with such a request to access or correction may be refused under the Personal Data Protection Act 2010 or other applicable laws or regulations and we may charge a prescribed fee for attending to any written request to access your Personal Data.

Save as aforesaid, you remain responsible for informing us of any changes or updates to your Personal Data provided to us.

Any such requests or inquiries with respect to this matter may be addressed to:

PDPA Contact Person

Telephone No.: 603 - 2779 0011

E-mail address: UOBAMCustomerCareMY@UOBgroup.com

UOB Asset Management (Malaysia) Berhad reserves its right to amend and/or update this Notice at any time and will provide you with such amendments or updates via suitable means.

In the event of any inconsistency between the English version and the Bahasa Malaysia version of this Notice, the English version shall prevail over the Bahasa Malaysia Version.



### 13. NOTIS DATA PERIBADI

UOB Asset Management (Malaysia) Berhad ("Syarikat" atau "kami") adalah pemegang Lesen Perkhidmatan Pasaran Modal yang dikeluarkan di bawah Akta Pasaran Modal dan Perkhidmatan 2007 yang membenarkan Syarikat untuk menjalankan aktiviti-aktiviti pengurusan dana terkawal dan berniaga dalam sekuriti (terhad kepada amanah unit).

Dalam perjalanan Syarikat menjalankan aktiviti-aktiviti dibenarkan tersebut, Syarikat boleh mengumpul, merekod, memegang, menyimpan atau memproses Data Peribadi anda (seperti yang ditakrifkan dalam Akta Perlindungan Data Peribadi 2010). Kami sentiasa (dan akan terus melakukan sedemikian) menghormati privasi dan kesulitan semua maklumat peribadi yang kami telah terima dan kumpul dalam perjalanan pemberian perkhidmatan kami kepada anda/atau urusan kami dengan anda dan telah mengambil semua langkah-langkah munasabah untuk memastikan keselamatan wajar maklumat tersebut.

Dalam pelanjutan komitmen kami di atas, kami kini menulis, dalam mematuhi kehendak-kehendak nyata Akta Perlindungan Data Peribadi 2010 untuk memaklumkan anda cara-cara kami berurusan dengan Data Peribadi anda dan akan menekankan pilihan yang anda ada untuk mengakses atau mengehadaikan akses kami dan cara pemprosesan Data Peribadi anda. Walau bagaimanapun, kami kesal dan diingatkan untuk memaklumkan anda bahawa apa-apa batasan akses atau penggunaan Data Peribadi anda yang wajib untuk anda berikan kepada kami boleh menjejaskan pemberian perkhidmatan dan produk yang anda telah minta daripada kami.

Deskripsi Data Peribadi yang Kami Kumpul dan Proses

Walaupun butiran dan skop yang tepat daripada Data Peribadi yang telah dikumpul boleh berubah berdasarkan perkhidmatan dan produk yang telah anda minta dan maksud-maksud khusus penggunaannya, Data Peribadi umum yang lazim dikumpul untuk diproses adalah seperti yang berikut:

1. Nama;
2. Butiran hubungan;
3. Nombor-nombor KP/Pasport (untuk Perniagaan/Badan Korporat(-Korporat) - Perniagaan/Butiran Pendaftaran Syarikat);
4. Warganegara (Residen atau Bukan Residen);
5. Data Kewangan yang relevan kepada Akaun anda dengan UOBAMM termasuk data berkaitan akaun-akaun anda dengan institusi-institusi kewangan lain;
6. Data Peribadi Lain yang diperoleh daripada:-
  - Dokumen-dokumen Sokongan seperti Resolusi Lembaga Pengarah dan Surat Pemberian Kuasa, Borang 44 (Notis Pejabat Berdaftar dll), 49 (Daftar Pengarah dll), Borang 25 (Butiran Pembahagian Saham), Borang-Borang Tandatangan Spesimen
  - Semua jawapan dan maklumat yang telah dikumpul oleh pelanggan /pelabur semasa pengendalian suatu kesesuaian atau penilaian serupa yang lain yang dijalankan oleh kami sebelum apa-apa pengesyoran mana-mana produk atau perkhidmatan;
  - Dokumentasi Undang-Undang seperti Surat Kuasa Wakil;
7. Jantina dan Bangsa;
8. Data lain yang diperoleh daripada:-
  - majikan anda;
  - agensi dan biro pelaporan kredit dan agensi berkanun/kawalselia termasuk Suruhanjaya Syarikat Malaysia dan Bank Negara Malaysia;
  - institusi-institusi kewangan seperti bank (seperti contoh, yang timbul daripada pembukaan atau penutupan akaun) dan pembekal-pembekal perkhidmatan kewangan yang berkaitan;
  - sumber-sumber lain yang mana anda telah memberikan persetujuan anda untuk dikongsi dan dizahirkan maklumat peribadi yang berkaitan dengan anda; dan
  - penasihat-penasihat profesional anda termasuk perunding cukai dan undang-undang anda.

Maksud-maksud untuk Mengumpul dan Memproses Data Peribadi

Data Peribadi yang telah dikumpul akan diproses untuk maksud-maksud berikut:-

1. Untuk menilai permohonan anda untuk produk dan perkhidmatan kami (termasuk penilaian kredit dan risiko dan mengkaji semula portfolio dan mandat-mandat pelaburan anda) dan kajian semula kemudiannya akaun(-akaun) anda dengan kami;
2. Untuk pengurusan akaun anda (termasuk pengurusan risiko dan audit) dan untuk memudahkan proses-proses operasi untuk memberikan perkhidmatan dan produk yang dipohon atau diminta oleh anda;
3. Untuk mematuhi kehendak-kehendak undang-undang atau kawalselia tempatan dan asing yang berkaitan (termasuk pemeriksaan AMLATFPUAA, kehendak-kehendak pematuan dan pelaporan) atau seperti yang diarahkan oleh mana-mana pihak-pihak berkuasa/agensi kawalselia atau kerajaan termasuk Suruhanjaya Sekuriti dan Bank Negara Malaysia atau seperti yang diarahkan oleh mana-mana organisasi profesional di mana kami adalah ahli atau yang mana mempunyai pengawasan ke atas kami seperti Persekutuan Pengurus-Pengurus Pelaburan Malaysia;
4. Untuk maksud-maksud pentadbiran dan operasi termasuk penyenggaraan dan pengemaskinian rekod-rekod/pangkalan data kami, maksud-maksud pembilan/pembayaran dan pelaporan kajian;
5. Untuk penentuan keberhutangan anda dan maksud-maksud pengutipan semula hutang;

6. Untuk memastikan anda telah diberitahu tentang produk atau perkhidmatan dan promosi-promosi baru yang ditawarkan oleh kami atau syarikat-syarikat berkaitan atau gabungan kami dengan cara-cara pelbagai notis dan bahan-bahan pemasaran dan promosi serta emel-emal atau panggilan-panggilan telefon;
7. Untuk mendengar pertanyaan-pertanyaan/kebimbangan -kebimbangan dan penyiasatan aduan-aduan anda; dan
8. Untuk maksud-maksud lain yang bersampingan atau berdampingan kepada maksud-maksud di atas.

Kami boleh menghendaki bantuan anda jika Data Peribadi yang berhubungan dengan orang lain dikehendaki untuk memproses Data Peribadi anda untuk maksud-maksud di atas dan anda dengan ini bersetuju untuk menggunakan usaha terbaik anda untuk membantu kami bila dikehendaki.

#### Penzahiran Data Peribadi Anda

Data Peribadi anda akan sentiasa disimpan secara peribadi dan sulit tetapi kami boleh menzahirkan Data Peribadi anda kepada pihak-pihak yang berikut:-

1. Pengarah-pengarah, pengurus-pengurus dan pegawai-pegawai dan wakil-wakil perniagaan kami untuk maksud-maksud berkaitan dengan permohonan dan pelanggan anda dengan produk dan perkhidmatan kami;
2. Syarikat induk kami dan syarikat-syarikat lain di dalam Kumpulan UOB dan syarikat-syarikat gabungan lain;
3. Pihak-pihak berkuasa pengawalseliaan atau kerajaan seperti Suruhanjaya Sekuriti, Bank Negara Malaysia dan Bursa Malaysia dan Biro Hasil Dalam Negeri dan Penguasa Kewangan Singapura (yang mengawal selia syarikat pemegang kami di Singapura) dan pengawalselia lain (di Malaysia atau di mana-mana) atau mana-mana organisasi profesional di mana kami adalah ahli atau yang mana mempunyai pengawasan ke atas kami seperti Persekutuan Pengurus-Pengurus Pelaburan Malaysia;
4. Seperti yang dikehendaki atau dibenarkan oleh atau di bawah mana-mana undang-undang atau oleh Perintah Mahkamah atau proses undang-undang lain atau arahan pengawalseliaan yang dikeluarkan oleh agensi penguatkuasaan undang-undang;
5. Mana-mana pembekal-pembekal perkhidmatan ketiga atau agen-agen yang telah dilantik oleh anda atau kami untuk memberikan perkhidmatan sampingan kepada kami sama ada di dalam atau di luar Malaysia (tertakluk kepada keselamatan yang mencukupi dan mempunyai kawalan data peribadi dan/atau mereka memberikan akujanji sulit) termasuk tetapi tidak terhad kepada pemegang-pemegang amanah/penjagaan, broker-broker, penganalisis-penganalisis dan pengkaji-peribadi pemegang-pengkaji pasaran;
6. Agensi-agensi peredaran dan syarikats-yarikat kajian pasaran;
7. Juruadit, penasihat undang-undang, penasihat cukai dan penasihat profesional kami yang lain; dan
8. Pihak-pihak yang diberikuasa oleh anda (sebagai contoh, agen, proksi diberikuasa, atau pasangan anda).

#### Penjagaan Data Peribadi anda

Sila ambil perhatian bahawa Data Peribadi anda boleh disimpan atau diproses ke atau di lokasi-lokasi atau sistem-sistem dalam bidang kuasa di luar Malaysia (di mana diperlukan untuk memudahkan pemberian perkhidmatan -perkhidmatan dan produk kami kepada anda) tertakluk kepada bidang kuasa tersebut mempunyai undang-undang perlindungan data serupa dan/atau kami dapat memastikan akujanji kesulitan bersaling.

Sila diyakinkan bahawa kami akan mengambil semua langkah-langkah praktikal yang diperlukan termasuk tetapi tidak terhad kepada menggabungkan langkah-langkah keselamatan yang munasabah ke dalam apa-apa kelengkapan yang dalamnya Data Peribadi anda disimpan, untuk melindungi Data Peribadi anda daripada apa-apa kehilangan, salah guna, akses atau penzahiran tanpa kebenaran.

#### Hak-Hak Anda kepada Akses, Pembetulan atau Mengemaskini Data Peribadi anda

Anda boleh meminta untuk mengakses Data Peribadi anda dan boleh membetulkan bahagian tersebut dalam Data Peribadi anda yang tidak tepat, tidak lengkap, mengelirukan atau tidak terkini kecuali jika pematuan dengan permintaan untuk akses atau pembetulan boleh dienggalkan di bawah Akta Perlindungan Data Peribadi 2010 atau undang-undang atau peraturan-peraturan terpakai lain dan kami boleh mengecapi fi yang ditetapkan untuk melayani apa-apa permintaan bertulis untuk mengakses Data Peribadi anda.

Kecuali seperti yang terdahulu dinyatakan, anda masih bertanggungjawab untuk memaklumkan kepada kami mengenai apa-apa perubahan atau pengemaskinian terhadap Data Peribadi anda yang telah diberikan kepada kami. Apa-apa permintaan atau pertanyaan berkenaan perkara ini boleh ditujukan kepada:-

Pegawai PDPA

No. Telefon: 603 - 2779 0011

Alamat E-mel: UOBAMCustomerCareMY@UOBgroup.com

UOB Asset Management (Malaysia) Berhad berhak untuk meminda dan/atau mengemaskini Notis ini pada bila-bila masa dan akan memberikan anda dengan pindaan tersebut atau pengemaskinian melalui cara-cara Sekiranya versi bahasa Inggeris dan versi Bahasa Malaysia tidak konsisten, notis dalam Bahasa Inggeris akan perlu diikuti.