

OTHER TRANSACTIONS FORM

SWITCH COOLING-OFF SELL

Please tick (✓) where applicable.

ACCOUNT NUMBER

Note: Use only **BLOCK LETTERS** and **BLACK INK** when completing this form and tick (✓) where applicable.

1. PARTICULARS OF APPLICANT

Principal Account Holder Full Name/
 Name of Organisation
 (as per NRIC/Passport/
 Company Registration) _____

Principal Account Holder
 NRIC/Passport No. /
 Company Registration No. _____

Joint Account Holder Full Name
 (as per NRIC/Passport/ Birth Certificate) _____

Joint Account Holder NRIC/
 Passport No. or Birth Certificate No.
 (if minor) _____

Name of Contact Person
 (for non-individual applicants) _____

Contact No. _____ Office Extension _____
 (where applicable)

2. TRANSACTION TYPE

Note: If there is no clear indication whether the switch/sell transaction should be in (a) FULL or PARTIAL, then the default instruction will be FULL switch/redemption; or (b) AMOUNT or UNITS, then the default instruction will be to switch/sell in UNITS.

SWITCH	From:	Currency (e.g. MYR, USD, SGD)	FULL	PARTIAL
	_____	_____	<input type="checkbox"/> Amount	or <input type="checkbox"/> Units
	To:	_____	<input type="checkbox"/> OR	_____
	_____	_____		Please indicate number here

SELL	Currency (e.g. MYR, USD, SGD)	FULL	PARTIAL
	_____	<input type="checkbox"/> Amount	or <input type="checkbox"/> Units
	_____	<input type="checkbox"/> OR	_____
	_____		Please indicate number here

COOLING OFF	I/We would like exercise my/our cooling-off right for my/our investment in _____	
	purchase on _____	Fund Name
	Date	


3. PAYMENT INSTRUCTION

Note: Only bank accounts in Malaysia are accepted. PAYMENT CANNOT BE MADE TO THIRD PARTY ACCOUNTS. For joint accounts, the account provided must either be in the name of the principal account holder or in the names of both account holders. Redemption proceeds will be made based on payment details as specified in your Account Opening Form UNLESS stated otherwise as below:

Bank Account Holder Name	_____	
	Malaysian Ringgit (MYR)	Other Currency (e.g. USD/SGD)
Currency	_____	_____
Bank Name	_____	_____
Account No.	_____	_____


4. CONFIRMATION FOR MATERIAL CHANGE OF PREVIOUS SUITABILITY ASSESSMENT

- a) I/We acknowledge that I/we have received, read and understood the latest Prospectus(es) and/or Information Memorandum including any Supplementary Prospectus(if any) for the Fund(s) invested in, and agree to be bound by the terms and conditions (see overleaf), for my/our transactions with UOB Asset Management (Malaysia) Berhad ("UOBAM").
- b) I/We undertake to be bound by the provisions of the documents constituting the Fund(s) subscribed to as if I was/we were a party thereto.
- c) I/We acknowledge and accept that UOBAM has absolute discretion to rely on this confirmation from me/us and I/we undertake to indemnify and hold harmless UOBAM, its employees and UTC against all costs, liabilities, claims and demands arising out of this confirmation.
- d) I/We acknowledge and expressly agree that my/our personal information will be used and/ or disclosed by UOBAM.



Signature of Principal Account Holder / Authorised Signatory (1)

Date [] - [] - [] (DDMMYYYY)



Signature of Joint Account Holder / Authorised Signatory (2)

Date [] - [] - [] (DDMMYYYY)

I/We declare that there has been material change to the information provided in the previous suitability assessment ("SA") and I/we will submit a new SA form.

For sole proprietor only

I hereby declare that I am the sole legal and beneficial owner of all the monies invested with UOBAM.

For non-listed entities

I/We hereby declare that the company is NOT any one of the following: (a) Financial institution; (b) A trust; (c) A non-listed entity of which more than 25% is directly/indirectly owned by any US person/entity; or (d) Required to file a tax return to the United States.

For sophisticated investors only (for the purchase of wholesale funds)

I hereby declare that there has been a change to my status as sophisticated investor as defined under the Guidelines on Unlisted Capital Market Products under the Lodge and Launch Framework.

5. FOR DISTRIBUTOR / CONSULTANT / STAFF USE ONLY

UTC Code

Reporting Branch

Name

Contact No.

Distributor Stamp

Please read the following Terms and Conditions before completing the Other Transactions Form. By applying for units in any Fund(s) managed by UOB Asset Management (Malaysia) Berhad ("UOBAM"), the applicant(s) agree(s) to and will be bound by the following Terms and Conditions, the Deed and Supplemental Deed(s) (if any), the Prospectus(es) and Supplementary Prospectus (if any), Information Memorandum / Replacement Information Memorandum (if any) of the relevant Fund(s), [which Deed and Supplemental Deed(s) (if any) are hereinafter referred to collectively as "the Deed", the Prospectus(es) and Supplementary Prospectus(if any) are hereinafter referred to collectively as "the Prospectus" and Information Memorandum / Replacement Information Memorandum (if any) are hereinafter referred to collectively as "the Information Memorandum"] in respect of ALL transactions. Where there are conflicting terms, the terms of the relevant Deed shall prevail.

1. IMPORTANT POINTS TO NOTE BEFORE COMPLETING THIS FORM

- a) The APPLICANT(S) MUST NOT USE CORRECTION FLUID on the Other Transactions Form (OTF).
- b) Where there is ANY CORRECTION OR AMENDMENT, the APPLICANT(S) MUST SIGN next to the correction or amendment to indicate that the change is duly authorised by the applicant(s). UOBAM reserves the right to reject forms which are incomplete, unclear and/or altered without signature of the authorised signatory(ies).
- c) Investors must use one form for one type of transaction only i.e. switching, repurchase, cooling-off or change of correspondence address/email. The type of transaction MUST BE indicated clearly on the top right corner of the OTF.

2. SWITCHING

- a) Investors can perform one (1) switching transaction for one (1) account with the same signing condition using one form. For switching of more than one (1) account, investors must use and complete a separate form. Photocopies of the blank form are allowed.
- b) The Net Asset Value (NAV) per unit of the respective Fund(s) is used for switching out and switching in transactions.
- c) All switching transactions will be based on the date and time of receipt of the original and completed OTF by UOBAM.
- d) For the original and completed OTF received by UOBAM by 4.00pm on a Business Day, the units will be switched based on the NAV per unit valued as at the end of the same Business Day. For the original and completed OTF received by UOBAM after 4.00pm on a Business Day, the units will be switched based on the NAV per unit valued as at the end of the next Business Day.
- e) Investors will have to pay the applicable differences in the sales charge (if any) for subsequent switching transactions carried out in the same calendar year. Investors may refer to the current prospectus relating to a Fund for the switching fee and switching facility.

3. SWITCH-SELL AND SWITCH-BUY

- a) Switching between Class(es) of the Fund is effectively a request to withdraw all or part of your investment in any Class of the Fund ("Switching-Sell") and using the proceeds to purchase Units in any other Class of the Fund ("Switching-Buy"), at the NAV per Unit applicable to Units of the respective Class(es).
- b) The Manager will process your Switching-Sell transaction once a complete switching request form is received by the Manager before the cut-off time of 4.00p.m. on any Business Day. Any switching request received by the Manager after the cut-off time of 4.00p.m. on any Business Day will only be processed on the next Business Day.
- c) Investors should take note that a Switching-Buy transaction will only be processed by the Manager once the Switching-Sell proceeds are received by the Manager from the Target Fund. Under normal circumstances, the Switching-Sell proceeds should be received by the Manager within seven (7) Business Days from the date the Manager processes the Switching-Sell transaction.
- d) Thereafter, if the Switching-Sell proceeds are received by the Manager before the cut-off time of 4.00p.m. on any Business Day, the Manager will proceed to process your Switching-Buy transaction on the next Business Day. If the Switching-Sell proceeds are received by the Manager after the cut-off time of 4.00p.m. on any Business Day, the Manager will process your Switching-Buy transaction on the following next Business Day.

4. REDEMPTION

- a) Investors can perform a maximum of three (3) redemption transactions for three (3) accounts with the same signing condition using one form. For redemption of more than three (3) accounts, investors must use and complete a separate form. Photocopies of the blank form are allowed.
- b) For partial redemption, the minimum balance to be maintained in an account as per stated in the Information Memorandum and Prospectus.
- c) All redemptions will be transacted based on the date and time of receipt of the original and completed OTF by UOBAM.
- d) For the original and completed OTF received by UOBAM by 4.00pm on a Business Day, the units will be redeemed based on the NAV per unit valued as at the end of the same Business Day. For the original and completed TF received by UOBAM after 4.00pm on a Business Day, the units will be redeemed based on the NAV per unit valued as at the end of the next Business Day.

- e) UOBAM will only make payments directly to the applicant(s). Payment instructions to third parties will NOT be accepted. The authorised signatory(ies) affixed in this form must be the same as the specimen signature(s) provided in the Account Opening Form.
- f) Applicant(s) may request for a cheque replacement if they do not receive the cheque within 14 Business Days from the date of receipt of the original and completed OTF by UOBAM.

5. MODE OF PAYMENT (FOR REDEMPTION ONLY)

- a) **Online Credit**
 - (i) To direct credit the redemption proceeds to the applicant's bank account as allowed by UOBAM.
 - (ii) All applicable bank charges are to be borne by investors.
- b) For payment to pay to both applicants via Online Credit, applicants must ensure that they have a joint bank account.

6. RIGHTS OF UOBAM

UOBAM reserves the right to:

- a) accept or reject any applications in whole or in part without assigning any reason whatsoever; for example, if details of the switching requests are incomplete, UOBAM reserves the right to reject of the switching requests;
- b) request for additional documents from the applicant to support the application; and
- c) vary these Terms and Conditions at any time without notifying the applicant(s).

7. INDEMNITY

The applicant(s) including joint applicant (if any) hereby agree(s) to indemnify UOBAM, the Trustee(s) and any of their authorised representatives against any losses, damages, costs and expenses which may be suffered or incurred by any or all of them arising whether directly or indirectly out of or in connection with UOBAM accepting, relying on or failing to act on any instructions given by or on behalf of the applicant(s) unless due to the wilful default or negligence of UOBAM.

8. NOTICES

Notices and communication are sent at the risk of the applicant(s). UOBAM shall not be liable for the interruption, error or failure in transmission or delivery of notices unless due to its wilful default or negligence.

9. AUTHORITY TO OPERATE ACCOUNTS

- a) The authorised signatory(ies) affixed in this form must be the same as the specimen signature(s) provided in the Account Opening Form.
- b) The signing condition for transaction request of multiple accounts in one form must be the same as the "Authority To Operate Account(s)" instruction provided in the Account Opening Form.
- c) For transaction request of multiple accounts in one form which the signing condition vary, UOBAM reserves the right to reject/cancel the transaction request and any loss incurred by UOBAM arising from the rejection/cancellation shall be borne by the investor.

10. PERSONAL DATA NOTICE

In compliance with the requirements of the Personal Data Protection Act 2010 ("the PDPA"), we are required to give you notice of the manner we deal with your Personal Data (as defined in the PDPA) and would like to highlight the choices you have to access or limit our access and means of processing your Personal Data. The full terms are as set out in attached Personal Data Notice.