

Terms and Conditions for Golden Mug and/or Rose Gold Mug Giveaway (“Giveaway”)

1. We may randomly select one lucky Winner from each episode for the Giveaway.
2. We, UOB Asset Management (Malaysia) Berhad (“UOBAMM”) will contact the lucky Winner for further information and the Giveaway shall be delivered to the Winner in due course.
3. UOBAMM reserves the right to make any amendments to the Terms and Conditions for the Giveaway herein at any point of time without any prior notice.
4. UOBAMM reserves the rights to substitute the Giveaway item(s) with product(s) of similar value should the abovementioned Giveaway item is unavailable for any reasons.
5. All pictures and/or images of the Golden Mug and/or Rose Gold Mug found in any advertising and marketing materials are for illustration purposes only and may vary from the actual product(s).
6. UOBAMM reserves the right to disqualify any submissions for the Giveaway in the event of violation of any of the Terms and Conditions.
7. In the event of any discrepancies of information that Winner has submitted with regards to the redemption of Golden Mug and/or Rose Gold Mug Giveaway for any reasons whatsoever, UOBAMM shall not be responsible for any loss or costs arising from such discrepancies howsoever caused.
8. In case of any dispute, UOBAMM reserves the right to make the final decision and shall have the right to cancel or disqualify.
9. By participating in this Golden Mug and/or Rose Gold Mug, participants shall fully and unconditionally agree to and accepts UOBAMM's Privacy Policy, and the Terms and Conditions herein.
10. In the event of any inconsistencies to the terms and conditions, the Terms and Conditions stipulated herein shall prevail.

Personal Data Notice

UOB Asset Management (Malaysia) Berhad (“the Company”, “our”, “us”, or “we”) is a holder of a Capital Markets Services Licence issued under the Capital Market and Services Act 2007 permitting the Company to carry out regulated activities of fund management and dealings in securities (restricted to unit trust).

In the course of the Company carrying out the aforesaid permitted activities, the Company may collect, record, hold, store or process your Personal Data (as defined in the Personal Data Protection Act 2010). We have always (and will continue to do so) respected the privacy and confidentiality of all the personal information we have received and collected in the course of the provision of our services to and/or our dealings with you and taken all reasonable steps to ensure the proper safeguard of such information.

In furtherance of our commitment above, we now write, in compliance with the express requirements of the Personal Data Protection Act 2010 to inform you of the manner we deal with your Personal Data and would highlight the choices you have to access or limit our access and means of processing your Personal Data. However, we regret and are reminded to inform you that any such limitation of access or use of your Personal Data which are obligatory for you to supply to us may affect the provision of the services and products you have requested of us.

Description of the Personal Data We Collect and Process

Although the precise details and scope of the Personal Data collected may vary according to the services and products you have requested for and the specific purposes of use, the Personal Data usually collected for processing will be as follows:-

1. Name;
2. Contact Details;
3. NRIC/Passport Numbers (For Business/Body Corporate(s)-Business/Company Registration Details);
4. Nationality (Resident or Non-Resident);
5. Financial Data relevant to your Account with UOBAMM including data relating to your accounts with other financial institutions;
6. Other Personal Data derived from:-
 - Supporting Documents such as Board Resolutions and Letter of Authorization, Forms 44 (Notice of Registered Office etc), 49 (Register of Directors etc), Form 25 (Particulars of Share Allotment), Specimen Signatures Forms
 - All responses and information gathered from the client/investor during the conduct of a suitability or similar other assessments carried out by us before any recommendation of any product or services;
 - Legal Documentation such as Power of Attorney;
7. Gender and Race;
8. Other Data obtained from:-
 - your employers;
 - credit reporting agencies/bureaus and statutory/regulatory agencies including the Companies Commission of Malaysia and Bank Negara Malaysia;
 - financial institutions such as banks (for example, that arising from the opening or closing of accounts) and related financial service providers;
 - other sources which you have given your consent to share and disclose personal

- information relating to you; and
- your professional advisers including your tax and legal consultants.

The Purposes for Collection and Processing of Personal Data

The Personal Data collected will be processed for the following purposes:-

1. To assess your application for our products and services (including credit and risk evaluation and review of your portfolio and investment mandates) and subsequent reviews of your account(s) with us;
2. For management of your account (including risk management and audit) and to facilitate the operational processes in order to provide the services and products applied for or requested by you;
3. To comply with relevant local and foreign legal or regulatory requirements (including AMLA checks, compliance and reporting requirements) or as directed by any regulatory or government authorities/agencies including the Securities Commission and Bank Negara Malaysia or as directed by any professional organizations of which we are a member or which has oversight over us such as the Federation of Investment Managers Malaysia;
4. For administrative and operational purposes including the maintenance and updating of our records/database, billing/payment purposes and research reporting;
5. For determination of your indebtedness and debt recovery purposes;
6. To keep you duly notified of new products or services and promotions offered by us or our related or affiliated companies by way of various notices and marketing and promotional materials and emails or phone calls;
7. To attend to queries/concerns and investigations of your complaints; and
8. For such other purpose that is incidental or ancillary to the above purposes.

We may require your assistance if the Personal Data relating to other persons is required to process your Personal Data for the above purposes and you hereby agree to use your best endeavors to assist us when required.

Disclosure of Your Personal Data

Your Personal Data will always be kept private and confidential but we may disclose your Personal Data to the following parties:-

1. Our Directors, managers and officers and dealer representatives for purposes relating to your application and subscription to our products and services;
2. Our parent company and other companies within the UOB Group and other affiliated companies;
3. Regulatory or government authorities such as the Securities Commission, Bank Negara Malaysia and Bursa Malaysia and Inland Revenue Bureau and the Monetary Authority of Singapore (which regulates our holding company in Singapore) and other regulatory (in Malaysia or elsewhere) or any professional organizations of which we are a member or which has oversight over us such as Federation of Investment Managers of Malaysia;
4. As required or authorized by or under any law or by Order of Court or other legal process or regulatory directive issued by a law enforcement agency;
5. Any third party service providers or agents who have been appointed by you or us to provide

ancillary services to us whether in or outside Malaysia (subject to adequate security and data protection controls put in place and/or them providing confidentiality undertakings) including but not limited to trustees/custodians, brokers, analysts and market researchers;

6. Rating agencies and market research companies;
7. Our auditors, legal advisers, tax and other professional advisers; and
8. Parties authorized by you (for example, your agent, authorized proxy or spouse).

Safeguarding of your Personal Data

Please take note that your Personal Data may be stored or processed to or in locations or systems in jurisdictions outside Malaysia (where necessary to facilitate the provision of our services and products to you) subject to those jurisdictions having similar data protection laws in place and/or our securing reciprocal confidentiality undertakings.

Please be assured that we will take all necessary practical steps including but not limited to incorporating reasonable security measures into any equipment in which your Personal Data is stored, to protect your Personal Data from any loss, misuse or unauthorized access or disclosure.

Your Rights to Access, Correction or Updating of your Personal Data

You may request for access to your Personal Data and be able to correct that part of your Personal Data which is inaccurate, incomplete, misleading or not up-to-date except where compliance with such a request to access or correction may be refused under the Personal Data Protection Act 2010 or other applicable laws or regulations and we may charge a prescribed fee for attending to any written request to access your Personal Data.

Save as aforesaid, you remain responsible for informing us of any changes or updates to your Personal Data provided to us.

Any such requests or inquiries with respect to this matter may be addressed to:

PDPA Contact Person

Telephone No. : 603 - 2732 1181

E-mail address : pdpa@uobam.com.my

UOB Asset Management (Malaysia) Berhad reserves its right to amend and/or update this Notice at any time and will provide you with such amendments or updates via suitable means.

In the event of any inconsistency between the English version and the Bahasa Malaysia version of this Notice, the English version shall prevail over the Bahasa Malaysia Version.

Yours Faithfully,