

Level 22, Vista Tower, The Intermark, 348 Jalan Tun Razak, 50400 Kuala Lumpur
Tel: 603-2732 1181 Fax: 603-2164 8188

In compliance with the Capital Markets and Services Act 2007, this form should not be circulated unless accompanied by the Prospectus or Information Memorandum where applicable.

Please read and understand the Prospectus(es) and Supplementary Prospectus (if any), Information Memorandum / Replacement Information Memorandum (if any) for the Fund(s) to be invested and the Terms and Conditions as specified overleaf before completing this form.

Note: Use only **BLOCK LETTERS** and **BLACK INK** when completing this form and tick (✓) where applicable.

1. PARTICULARS OF CORPORATE APPLICANT

Organisation Name _____

Business/Company
Registration No. _____

Country of Incorporation ☐ Malaysia ☐ Others - please specify _____

Date of Incorporation/ Registration ____ - ____ - ____ (DD/MM/YYYY)

Entity Type ☐ Foundation ☐ Partnership ☐ Sdn Bhd ☐ Berhad ☐ Sole Proprietorship
☐ Others - please specify _____

Entity Status ☐ Bumiputra Controlled ☐ Non-Bumiputra Controlled ☐ Non-Malaysian Controlled

Nature of Business ☐ Accounting/Finance ☐ Bank/Financial Institution ☐ Casino/Gambling
☐ Charities ☐ Consulting ☐ Cruise
☐ Defence/military ☐ Embassy/Consulate/High Commission ☐ Engineering
☐ Gaming Business ☐ Government/Statutory Board
☐ Investment ☐ Money Lenders/Remittance Agents
☐ Research & Development ☐ Retail & Food and Beverage
☐ Sales/Marketing/Advertising ☐ Society/Club/Association
☐ Others - please specify _____

Contact Number
(Please provide at least
one (1) number)

Home ____ - ____

Mobile ____ - ____

Office ____ - ____

Fax Number ____ - ____

Email _____

By completing the email column above, you will receive statement, annual and/or interim reports, and other information from us via email.
If you wish to receive hard copies instead of electronic copies, please tick (✓) here: ☐

2. COMPANY CORRESPONDENCE & REGISTERED ADDRESS AND CONTACT DETAILS

Correspondence Address
(Holdmail or C/O is not
acceptable)

Registered Address
(if different from the
Correspondence Address)

Company Contact Details 1

Contact Person 1 _____

Contact Designation _____

Office Email Address _____

Contact Number Office ____ - ____ Mobile ____ - ____

Company Contact Details 2

Contact Person 2 _____

Main Contact Designation _____

Office Email Address _____

Contact Number Office _____ - _____ Mobile _____ - _____

3. ENTITY FURTHER INFORMATION

Declaration of Beneficial Owner. (Shareholding of 25% or more)

I/We declare that the ultimate beneficial owner(s) and the percentage share held by the ultimate beneficial owner(s) are as follows. I/We undertake to keep UOBAM informed should there be any change to the ownership in future:

1. Beneficial Owner Name _____
 - a) NRIC/Passport No. _____
 - b) Date of Birth _____ (DD/MM/YYYY)
 - c) Nationality _____
 - d) Residential Address _____
 - e) Share _____ %
2. Beneficial Owner Name _____
 - a) NRIC/PP Number _____
 - b) Date of Birth _____ (DD/MM/YYYY)
 - c) Nationality _____
 - d) Residential Address _____
 - e) Share _____ %
3. Beneficial Owner Name _____
 - a) NRIC/PP Number _____
 - b) Date of Birth _____ (DD/MM/YYYY)
 - c) Nationality _____
 - d) Residential Address _____
 - e) Share _____ %
4. Beneficial Owner Name _____
 - a) NRIC/PP Number _____
 - b) Date of Birth _____ (DD/MM/YYYY)
 - c) Nationality _____
 - d) Residential Address _____
 - e) Share _____ %

KYC Information

- ii. Size of Business
(Annual Turnover in MYR) _____
- ii) Primary Clientele Base
(Eg. Retail / Corporate) _____
- iii) Source of Funds:

☐ Dividends
☐ Revenue/Turnover
☐ Other - please specify _____

☐ Equity/Capital
☐ Trade/Business Proceed
☐ Others - please specify _____

☐ Investment Returns
- iv) Source of Main Revenue
(Country)

☐ Malaysia
 ☐ Others - please specify _____

4. ADD USER

Important Notice

1. This section is to be completed for the usage of the UOBAM Invest Platform
2. Please ensure that the Super Users and Authorised Signatories in accordance to the Company's Board Resolution. Kindly complete all the information below and tick where applicable.

- Super User : View account details, create and can authorise own transactions
- Authoriser : View account details, create and approve only other user's transactions
- Maker : View account details and create transactions
- Read Only : View account details only

User 1: Details

Name			
NRIC/Passport No.		Nationality	
Email Address		Mobile No.	
Designation			
Roles (Please tick where applicable)	<input type="checkbox"/> Authoriser	<input type="checkbox"/> Read Only	<input type="checkbox"/> Maker <input type="checkbox"/> Super User
Date of Birth			
User's Signature Specimen			

User 2: Details

Name			
NRIC/Passport No.		Nationality	
Email Address		Mobile No.	
Designation			
Roles (Please tick where applicable)	<input type="checkbox"/> Authoriser	<input type="checkbox"/> Read Only	<input type="checkbox"/> Maker <input type="checkbox"/> Super User
Date of Birth			
User's Signature Specimen			

User 3: Details

Name			
NRIC/Passport No.		Nationality	
Email Address		Mobile No.	
Designation			
Roles (Please tick where applicable)	<input type="checkbox"/> Authoriser	<input type="checkbox"/> Read Only	<input type="checkbox"/> Maker <input type="checkbox"/> Super User
Date of Birth			
User's Signature Specimen			

[To add more users, kindly fill up duplicate of Section 4 of this page and attach it to the application.]

5. TRANSACTION APPROVAL SETTINGS

- ☐ Any 1 Authoriser ☐ Any 2 Authorisers ☐ Any 3 Authorisers
- Important Note: Super User will not require any Authoriser to approve their transactions.

6. PAYMENT DETAILS / INCOME DISTRIBUTION OPTION

Note: Only bank accounts in Malaysia are accepted. PAYMENT CANNOT BE MADE TO THIRD PARTY ACCOUNTS.

Name of Fund(s)	Malaysian Ringgit (MYR)	Other Currency (e.g. USD, SGD)
Currency	MYR	
Bank Name		
Account No.		

Income Distribution

All distributions will be **reinvested** automatically unless otherwise specified herewith.

- ☐ Pay to bank account as specified in the payment details

7. TAX SELF - DECLARATIONS

Important information regarding tax reporting requirements for US Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS)

In order to comply with the regulatory requirements of FATCA and CRS, we are obliged to collect your tax self-declaration. Please complete the sections below as directed and provide any additional information that is requested. Refer to FATCA and CRS Glossary.

If you have any questions about this tax self-declaration form, please speak to your tax adviser.

Select declaration (Select one)

- ☐ **New declaration**
Proceed to complete Sections 7.1, 7.2, 7.3 and 7.4 as required.
- ☐ **Refer to attached declarations**
Choose this option if you have declared your tax status separately. Proceed to Section 8.0 Declarations and Signatures.
- ☐ **I have an existing declaration**
No changes to the previous US withholding certificate or FATCA. CRS self-certification(s) Submitted to UOBAM. Proceed to Section Declarations and Signatures.

Complete the following section based on your Entity type.
Ticked sections are mandatory.

Section	7.1	7.2	7.3	7.4
Sole Proprietorship Individual Owners	✓	✓	N/A	N/A
Other Entity Type - Private Limited - Society (Club/Association) - FI / NBFI	N/A	✓	✓	Conditional

7.1 SOLE PROPRIETORSHIP OWNER'S INFORMATION

This section is required only if you are a Sole Proprietorship. Provide the Sole Proprietorship (Individual/ Non-Individual) Owner's information and not the particulars of the Sole Proprietorship.

Name/Registered business name

Country of birth

For Individual Owners only.

Date of birth (DD/MM/YYYY)

For Individual Owners only.

- - - - -

Mailing address If different from Residential/Registered address.

Business registration number/NRIC/Passport

Nationality/Country of incorporation

Residential address/Registered address

7.2 TAX RESIDENCY

Select your tax residency(ies).

- If you are a Sole Proprietorship, provide the Sole Proprietorship owner's tax residency(ies).
- If the Entity is not tax resident in any country/jurisdiction (e.g. because it is fiscally transparent), please provide its place of effective management or jurisdiction in which its principal office is located.

☐ **Malaysia** TIN number (Malaysia) - - - - -

☐ **United States** TIN number (United States) - - - - -

Specified US Person ☐ Yes ☐ No

☐ **Others**

Country 1

TIN number

- - - - -

Country 2

TIN number

- - - - -

If no TIN in country/jurisdiction

☐ Country where the Entity/Individual is resident does not issue TINs.

☐ No TIN is required.
Only select this reason if the authorities of the country of tax residence entered do not require the TIN to be disclosed.

☐ Entity/Individual is otherwise unable to obtain a TIN or equivalent number. Provide details.

- - - - -

☐ Country where the Entity/Individual is resident does not issue TINs.

☐ No TIN is required.
Only select this reason if the authorities of the country of tax residence entered do not require the TIN to be disclosed.

☐ Entity/Individual is otherwise unable to obtain a TIN or equivalent number. Provide details.

- - - - -

7.3 FATCA AND CRS STATUS

Select one of the following statements that best describes the Entity. If you are a Sole Proprietorship, provide the Sole Proprietorship owner's FATCA and CRS status.

Instructions	FATCA status if non-US tax resident	CRS status
<input type="radio"/> Passive Business More than 50% of your gross income from Passive Income in the previous year or More than 50% of your assets were used to produce Passive Income in the previous year.	Passive Non-Financial Foreign Entity	Passive Non-Financial Entity
<input type="radio"/> Active Business Less than 50% of your gross income from Passive Income in the previous year and Less than 50% of your assets were used to produce Passive Income in the previous year.		
<input type="radio"/> Publicly Listed Company or its Related Entity Stock is regularly traded on one or more established securities markets or A Related Entity of an entity whose stock is regularly traded on an established securities market.	1. Name of established securities market <input type="text"/> 2. Name of Related Entity (if any) <input type="text"/> 3. Proceed to complete Section 8.0 Declarations and Signatures.	Active Non-Financial Foreign Entity
<input type="radio"/> Non-profit organisations Non-business entity	Proceed to complete Section 8.0 Declarations and Signatures.	Active Non-Financial Entity
<input type="radio"/> Holding Company or Group Services Company Substantially all your business activities consist of: Holding (wholly or partially) the outstanding share of, or Providing financing and services to one or more subsidiaries that engage in non-FI trade/ business. However, you do not operate as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes.	Proceed to complete Section 8.0 Declarations and Signatures	
<input type="radio"/> Financial Institution Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution.	1. Provide GIIN <input type="text"/> . <input type="text"/> . <input type="text"/> . <input type="text"/> 2. Provide U.S. withholding certificate U.S. IRS Form W-8BEN-E / W-8IMY / W-8ECI / W-8EXP. 3. Proceed to Complete Section 7.4 Controlling Person(s).	Financial Institution

Instructions		FATCA status if non-US tax resident	CRS status
<input type="radio"/> Financial Institution Other Investment Entity.	1. Provide GIIN _____. _____. 2. Provide U.S. withholding certificate U.S. IRS Form W-8BEN-E / W-8IMY / W-8ECI / W-8EXP. 3. Proceed to Complete Section 8.0 Declarations and Signatures.	Financial Institution	Financial Institution
<input type="radio"/> Financial Institution Depository Institution, Custodial institution or Specified Insurance Company.	1. Provide GIIN _____. _____. 2. Provide U.S. withholding certificate U.S. IRS Form W-8BEN-E / W-8IMY / W-8ECI / W-8EXP. 3. Proceed to Complete Section 8.0 Declarations and Signatures.		
<input type="radio"/> Government Entity or Central Bank Government and government-linked entities (including Ministries, Statutory Boards, Town Councils). Central Bank.	Proceed to complete Section 8.0 Declarations and Signatures.	Exempt Beneficial Owner	Active Non-Financial Entity A Government Entity or Central Bank
<input type="radio"/> International Organisations Any international organisation or wholly owned agency or instrumentality thereof.	Proceed to complete Section 8.0 Declarations and Signatures.		Active Non-Financial Entity An International Organisation
<input type="radio"/> Other Status If you do not fall under any of the above statuses, please specify your FATCA and CRS status.	1. FATCA Status _____ Provide U.S. IRS Form W-8BEN-E / W-8IMY / W-8ECI / W-8EXP 2. CRS Status _____ 3. Proceed to complete Section 8.0 Declarations and Signatures.	As specified	As specified

7.4 CONTROLLING PERSON(S)

Complete below section only if your FATCA or CRS status is any of the following:
Passive Non-Financial Foreign Entity/Passive Non-Financial Entity/Financial Institution-Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution.

Important
You represent that the controlling person/s have confirmed that the information in this section is accurate and up to date.

Name	_____		
Nationality	_____	Date of birth	____ - ____ - ____ (DD/MM/YYYY)
Percentage of ownership	_____	Country of birth	_____
Residential address	_____%		
ID number (NRIC/Passport)	_____	ID issuing country	_____

Select your controlling person tax residency(ies)

☐ **United States** TIN number (United States) _____

☐ **Others**

Country 1 _____ TIN number _____

Country 2 _____ TIN number _____

If no TIN in country/jurisdiction

- ☐ Country where the Individual is resident does not issue TINs.
- ☐ No TIN is required.
Only select this reason if the authorities of the country of tax residence entered do not require the TIN to be disclosed.
- ☐ Individual is otherwise unable to obtain a TIN or equivalent number. Provide details.

If no TIN in country/jurisdiction

- ☐ Country where the Individual is resident does not issue TINs.
- ☐ No TIN is required.
Only select this reason if the authorities of the country of tax residence entered do not require the TIN to be disclosed.
- ☐ Individual is otherwise unable to obtain a TIN or equivalent number. Provide details.

Name _____

Nationality _____ Date of birth _____ (DD/MM/YYYY)

Percentage of ownership _____ Country of birth _____

Residential address _____ %

ID number (NRIC/Passport) _____ ID issuing country _____

Select your controlling person tax residency(ies)

☐ **United States** TIN number (United States) _____

☐ **Others**

Country 1 _____ TIN number _____

Country 2 _____ TIN number _____

If no TIN in country/jurisdiction

- ☐ Country where the Individual is resident does not issue TINs.
- ☐ No TIN is required.
Only select this reason if the authorities of the country of tax residence entered do not require the TIN to be disclosed.
- ☐ Individual is otherwise unable to obtain a TIN or equivalent number. Provide details.

If no TIN in country/jurisdiction

- ☐ Country where the Individual is resident does not issue TINs.
- ☐ No TIN is required.
Only select this reason if the authorities of the country of tax residence entered do not require the TIN to be disclosed.
- ☐ Individual is otherwise unable to obtain a TIN or equivalent number. Provide details.

8. DECLARATIONS AND SIGNATURES

- I/We acknowledge that I/we have received, read and fully understood the relevant Prospectus(es) including any Supplementary Prospectus (if any) or Information Memorandum/Replacement Information Memorandum (if any) for the Fund(s) to be invested in, and agree to be bound by all the terms and conditions in the Fund's Deed and Prospectus including Supplemental Deed(s) and Supplementary Prospectus (if any) or Information Memorandum/Replacement Information Memorandum (if any) thereto, for my/our initial and subsequent transactions with UOB Asset Management (Malaysia) Berhad ("UOBAM").
- I/We are the duly authorised officer(s) of the Institution, and warrant that the Institution has the power and capacity to enter into this agreement and undertake transactions involving the Fund(s). Attached is a certified true copy of the Institution's list of authorised signatories.
- I/We undertake to provide UOBAM with all information as it may require for the purpose of and in connection with completing the Account Opening Form, including but not limited to, my/our information on financial position, condition or prospect. I/We also undertake to provide such information and documents as UOBAM may reasonably require for the purpose of due diligence/enhanced due diligence as required under the Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001 (AMLA).
- I/We hereby acknowledge that I am/we are aware of the fees and charges that I/we will incur directly or indirectly when investing in the Fund(s).
- I/We represent a legally incorporated Institution. I/We declare that this application is not funded by gains from any unlawful activities.
- I/We hereby irrevocably permit and authorise UOBAM to disclose to such persons as they may think fit or necessary for the processing of my/our application information regarding my/our particulars, the money and the other particulars of my/our accounts with UOBAM and particulars of all my/our transactions with or through UOBAM.

In relation to FATCA and CRS

- I/We confirm and declare that all of the information I/we have provided on this form is complete, current and accurate. I/We undertake to notify UOBAM in writing of any change in circumstances that causes by certification on this to become incorrect and provide an appropriately updated form within 30 days of such change.
- I/We hereby acknowledge, agree and give consent to UOBAM that in the event we become a US Person:-
 - I/We shall not be eligible to apply for and to hold units in the unit funds or wholesale funds; and
 - I/We shall be deemed to have submitted a redemption request in respect of our units in the unit trust or wholesale fund to UOBAM on the business day following the day aware of our ineligibility.
 Without prejudice to any other right or remedies UOBAM may otherwise have, I/we shall indemnify, keep indemnified and hold harmless UOBAM against any and all liabilities, actions, claims, losses, damages, costs and expenses (including but not limited to legal cost on a full indemnity basis) suffered or incurred by UOBAM as a result of, or in connection any of the Beneficial Owners' US Person(s) Declaration being inaccurate, outdated or untrue.

In relation to the Personal Data Notice

9 In relation to the Personal Data Notice ("Notice") in Section 14. I/We acknowledge that I/we have read and understood the Notice and confirm to the receipt of the Notice consent to the processing of my personal data in accordance with Notice.

In relation to the Unit Trust Loan Financing Risk Disclosure Statement

10 I/We, acknowledge that I/we have read, understood and received a copy of the unit trust loan financing risk disclosure statement in section 9 below.

Affix Seal or
Company
Stamp

Authorised Signatory (1)

Date [] - [] - [] (DDMMYYYY)

Affix Seal or
Company
Stamp

Authorised Signatory (2)

Date [] - [] - [] (DDMMYYYY)

9. UNIT TRUST LOAN FINANCING DISCLOSURE STATEMENT

Investing In a Unit Trust Fund With Borrowed Money Is More Risky Than Investing With Your Own Savings.

You should assess if loan financing is suitable for you in light of your objectives, attitude to risk and financial circumstances. You should be aware of the risks, which would include the following:

- 1 The higher the margin of the financing (that is, the amount of money you borrow for every Ringgit of your own money which you put in as deposit or down payment), the greater the loss or gain on your investment.
- 2 You should assess whether you have the ability to service the repayments on the proposed loan. If your loan is a variable rate loan, and if interest rates rise, your total repayment amount will be increased.
- 3 If unit prices fall beyond a certain level, you may be asked to provide additional acceptable collateral (where units are used as collateral) or pay additional amounts on top of your normal instalments. If you fail to comply within the time prescribed, your limits may be sold towards the settlement of your loan.
- 4 Returns on unit trusts are not guaranteed and may not be earned evenly over time. This means that there may be some years where returns are high and other years where losses are experienced. Whether you eventually realise a gain or loss may be affected by the timing of the sale of your units. The value of units may fall just when you want your money back even though the investment may have done well in the past.

This brief statement cannot disclose all the risks and other aspects of loan financing. You should therefore carefully study the terms and conditions before you decide to take a loan. If you are in doubt about any aspect of this Risk Disclosure Statement or the terms of the loan financing, you should consult the institution offering the loan.

10. FOR DISTRIBUTOR/ CONSULTANT/ STAFF USE ONLY

AUTHENTICATION OF IDENTITY

In compliance with Section 16(3) Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001 (AMLA), I hereby confirm the following:

☐ Original identity document(s) sighted.

☐ Photocopy of identity document(s) attached.

Name _____

NRIC No. _____

Agent Code _____

Date _____

Signature _____

11. FOR OFFICE USE ONLY

Form Verified By

Initial Date

RM Name Segment

RM Name Segment

Process By

Initial Date

Branch

UT/FD Bundle or UT only (Circle whichever applicable)

Branch

UT/FD Bundle or UT only (Circle whichever applicable)

Active Non-Financial Entity (NFE)/ Active Non-Financial Foreign Entity (NFFE):
Any NFE/NFFE (being an entity that is not a Financial Institution (FI)) that meets any of the following criteria:

1. Less than 50 percent of the NFE/NFFE's gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50 percent of the assets held by the NFE/NFFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income;
2. The stock of the NFE/NFFE is regularly traded on an established securities market or the NFE/NFFE is a Related Entity of an Entity the stock of which is traded on an established securities market;
3. The NFE/NFFE is a Governmental Entity, an International Organisation, a Central Bank, or an Entity wholly owned by one or more of the foregoing;
4. Substantially all of the activities of the NFE/NFFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity does not qualify for this status if the Entity functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
5. The NFE/NFFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution; provided, that the NFE/NFFE does not qualify for this exception after the date that is 24 months after the date of the initial organisation of the NFE/NFFE;
6. The NFE/NFFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganising with the intent to continue or recommence operations in a business other than that of a Financial Institution;
7. The NFE/NFFE primarily engages in financing and hedging transactions with or for Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution; or
8. The NFE/NFFE meets all of the following requirements:
 - (a) It is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organisation, business league, chamber of commerce, labour organisation, agricultural or horticultural organisation, civic league or an organisation operated exclusively for the promotion of social welfare;
 - (b) It is exempt from income tax in its jurisdiction of residence;
 - (c) It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;
 - (d) The applicable laws of the NFE/NFFE's jurisdiction of residence or the NFE/NFFE's formation documents do not permit any income or assets of the NFE/NFFE to be distributed to, or applied for the benefit of, a private person or non-charitable entity other than pursuant to the conduct of the NFE/NFFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the entity has purchased; and
 - (e) The applicable laws of the NFE/NFFE's jurisdiction of residence or the NFE/NFFE's formation documents require that, upon the NFE/NFFE's liquidation or dissolution, all of its assets be distributed to a Governmental Entity or other non-profit organisation, or escheat to the government of the NFE/NFFE's jurisdiction of residence or any political subdivision thereof.

Central Bank:

An institution that is by law or government sanction the principal authority, other than the government of the jurisdiction itself, issuing instruments intended to circulate as currency. Such an institution may include an instrumentality that is separate from the government of the jurisdiction, whether or not owned in whole or in part by the jurisdiction.

Controlling Person:

The natural persons who exercise control over an Entity. In the case of a trust, such term means the settlor(s), the trustee(s), the protector(s) (if any), the beneficiary(ies), or class(es) of beneficiaries, and any other natural person(s) exercising ultimate effective control over the trust, and in the case of a legal arrangement other than a trust, such term means persons in equivalent or similar positions. The term 'Controlling Person' shall be interpreted in a manner consistent with the Recommendations of the Financial Action Task Force. An individual who:

1. Owns an interest of more than 25% of the capital in the entity;
2. Can exercise more than 25% of the voting power at the shareholders meeting of the entity;
3. Has direct or indirect control or power of decision in the entity;
4. Is a beneficiary of 25% or more of the capital of the entity; or
5. Has particular control over 25% or more of the capital of the entity

CRS:

means the Common Reporting Standard.

Custodial Institution:

Any Entity that holds, as a substantial portion of its business, financial assets for the account of others. An entity holds financial assets for the account of others as a substantial portion of its business if the Entity's gross income attributable to the holding of financial assets and related financial services equals or exceeds 20 percent of the Entity's gross income during the shorter of: (i) the three-year period that ends on 31 December (or the final day of a non-calendar year accounting period) prior to the year in which the determination is being made; or (ii) the period during which the Entity has been in existence.

Depository Institution:

Any Entity that accepts deposits in the ordinary course of a banking or similar business.

Entity:

A legal person or a legal arrangement, such as a corporation, organisation, partnership, trust or foundation.

Established Securities Market:

An exchange that is officially recognised and supervised by a governmental authority in which the market is located and that has a meaningful annual value of shares traded on the exchange.

FATCA:

is an abbreviation of Foreign Account Tax Compliance Act. This United States tax legislation is aimed at identifying U.S. Persons that have an account or financial assets outside of the United States. The government of the Malaysia is included in the US Treasury's list with respect to the exchange of information regarding U.S. Persons in Malaysia.

Financial Institution:

Any of the following:

1. A Depository Institution
2. A Custodial Institution
3. An Investment Entity
4. A Specified Insurance Company

Fiscally transparent entities:

Entities that are considered fiscally transparent are:

1. Sole proprietorships - the business income derived by a sole proprietorship is part of the total personal income of the sole proprietor which is taxed at individual income tax rates; and
2. Partnerships - each partner of a partnership will be taxed based on his share of the business income of the partnership at individual income tax rates.

Global Intermediary Identification Number (GIIN):

Institutions and entities assigned a GIIN can use it to identify themselves to withholding agents and tax administrators for FATCA reporting purposes. The GIIN, formatted as XXXXX.XXXXX.XX.XXX, is a 19-character identification number. These characters will never contain the letter "O".

Governmental Entity:

The government of a jurisdiction. any political subdivision of a jurisdiction (which, for the avoidance of doubt, includes a state, province, county, or municipality), or any wholly owned agency or instrumentality of a jurisdiction or any one or more of the foregoing (each, 'Governmental Entity'). This category is comprised of the integral parts, controlled entities, and political subdivisions of a jurisdiction.

1. An integral part of a jurisdiction means any person, organisation, agency, bureau, fund, instrumentality, or other body, however designated, that constitutes a jurisdiction, with no portion inuring to the benefit of any private person. An integral part does not include any individual who is a sovereign, official, or administrator acting in a private or personal capacity.
2. A controlled entity means an Entity that is separate in form from the jurisdiction or that otherwise constitutes a separate juridical entity, provided that: (a) The Entity is wholly owned and controlled by one or more Governmental Entities directly or through one or more controlled entities; (b) The Entity's net earnings are credited to its own account or to the accounts of one or more Governmental Entities, with no portion of its income inuring to the benefit of any private person; and (c) The Entity's assets vest in one or more Governmental Entities upon dissolution.
3. Income does not inure to the benefit of private persons if such persons are the intended beneficiaries of a governmental program, and the program activities are performed for the general public with respect to the common welfare or relate to the administration of some phase of government. Notwithstanding the foregoing; however, income is considered to inure to the benefit of private persons if the income is derived from the use of a governmental entity to conduct a commercial.

International Organisation:

Any international organisation or wholly owned agency or instrumentality thereof. This category includes any intergovernmental organisation (including a supranational organisation) (1) that is comprised primarily of governments; (2) that has in effect a headquarters or substantially similar agreement with the jurisdiction; and (3) the income of which does not inure to benefit of private persons.

Investment Entity:

Any entity will be treated as an Investment Entity if meets conditions below:

1. Entity that primarily conducts as a business (or is managed by an Entity that conducts as a business) one or more of the following activities or operations for or on behalf of a customer:
2. Trading in money market instruments (cheque, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading;
3. Individual and collective portfolio management; or
4. Otherwise investing, administering, or managing funds or money on behalf of other persons; or
5. Satisfies the Financial Asset Test (FAT). If 50 percent or more of the Entity's gross income is attributable to the activities mentioned above during the shorter of: (a) The three-year period ending on 31 December of the year preceding the year in which the determination is made; or (b) The period during which the entity has been in existence.

The second type of 'Investment Entity' ('Investment Entity managed by another FI') is any entity the gross income of which is primarily attributable to investing, reinvesting, or trading in Financial Assets where the Entity is managed by another Entity that is a Depository Institution, a Custodial Institution, a Specified Insurance Company, or the first type of Investment Entity.

Listed on a stock exchange:

An entity is considered to be listed on a stock exchange if at least 50% of the securities of the entity are regularly traded on an established securities market.

Non- Financial Foreign Entity (NFFE):

refers to any non-US incorporated entity that is not a Foreign Financial Institution ("FFI").

Participating Jurisdiction:

A jurisdiction - (i) with which an agreement is in place pursuant to which it will provide the specified information under CRS, and (ii) which is identified in a published list.

Passive income:

refers generally to the portion of gross income that consists of:

1. Dividends and dividend substitute payments (income equivalent to dividend);
2. Interest and income equivalent to interest;
3. Rents and royalties, other than rents and royalties derived in the active conduct of a trade or business conducted, at least in part, by employees of the NFFE;
4. Annuities;
5. Income from swap-contracts;
6. Rental income of real estate property provided that this income can be obtained by performing little to no activity.

The list above is not exhaustive. A complete overview of passive income can be found on www.irs.gov. If you are uncertain whether income is passive income, please consult a tax adviser.

Passive NFE/Passive NFFE:

Any NFE/NFFE (being an entity that is not a FI) that is not an Active NFE/NFFE. (Note: Similar treatment will be applied to an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution).

Related Entity:

An Entity is regarded as being related to another Entity if: (i) One controls the other; or (ii) The two Entities are under common control. Control means: Direct or indirect ownership of more than 50 percent of the vote and value in an Entity.

Reportable Account:

An account that, pursuant to due diligence procedures consistent with CRS, has been identified as an account that is held by one or more persons that are Reportable Persons or by a Passive NFE with one or more Controlling Persons that are Reportable Persons with respect to another Jurisdiction.

Reportable Person:

A Reportable Jurisdiction Person other than: (i) a corporation the stock of which is regularly traded on one or more Established Securities Markets; (ii) any corporation that is a Related Entity of a corporation described in clause (i); (iii) a Governmental Entity; (iv) an International Organisation; (v) a Central Bank; or (vi) a Financial Institution.

Reportable Jurisdiction Person:

An individual or Entity that is resident in a Reportable Jurisdiction under the tax laws of such jurisdiction, or an estate of a decedent that was a resident of a Reportable Jurisdiction. For this purpose, an Entity such as a partnership, limited liability partnership or similar legal arrangement that has no residence for tax purposes shall be treated as resident in the jurisdiction in which its place of effective management is situated.

Reportable Jurisdiction:

A jurisdiction - (i) with which an agreement is in place pursuant to which there is an obligation in place to provide the specified information under CRS; (ii) which is identified in a published list.

Specified Insurance Company:

Any Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

Specified US Person:

Means any US Person other than those specifically excluded. The United States tax authorities (IRS) have excluded certain U.S. Persons from the requirement to be reported by financial institutions pursuant to FATCA. An entity will usually know whether it can be excluded according to specific requirements of the IRS and United States tax legislation (U.S. Internal Revenue Code). If you are uncertain whether the entity has this specific status, please visit www.irs.gov for more information or consult a tax adviser. The following are not Specified US Persons:

1. A publicly traded corporation or member of its expanded affiliated group;
2. The U.S., any states of the U.S., U.S. Territory, political subdivision of any of foregoing or any wholly owned agency or instrumentality thereof;
3. Organisation exempt from tax under Section 501(a) or an individual retirement plan;
4. Banks;
5. Real estate investment trusts;
6. Regulated investment company or entity registered with the U.S. Securities and Exchange Commission;
7. Common trust fund or trust exempt from tax;
8. A U.S. registered dealer in securities, commodities or derivatives;
9. A broker; or
10. Tax-exempt trust under a plan.

Taxpayer Identification Number (TIN):

Taxpayer Identification Number or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an Entity and used to identify the individual or Entity for the purposes of administering the tax laws of such jurisdiction. For example, Income Tax Number (ITN) is used by Malaysia entities to file tax returns with Inland Revenue Authority of Malaysia. Further details of acceptable TINs can be found at: <http://www.oecd.org/tax/automatic-exchange/tinsandtaxresidency/>.

Some jurisdiction do not issue a TIN. However, these jurisdictions often utilise some other high integrity number with an equivalent level of identification (a 'functional' equivalent). Examples of that type of number include, for individuals, a social security/insurance number, citizen/personal identification/service code/number, and resident registration number.

US Person:

means

1. A US citizen or resident individual,
2. A partnership or corporation organized in the US or under the laws of the US or any state of the US,
3. A trust if (i) a court within the US would have authority under applicable law to render order or judgments concerning substantially all issue regarding administration of trust, and (ii) one or more US Persons have the authority to control all substantial decisions of the trust, or an estate of a decedent that is a citizen or resident of the US; As interpreted in accordance with the US Internal Revenue Code.

U.S. TIN (U.S. Taxpayer Identification Number):

The 'Taxpayer Identification Number' (TIN), which is also known as 'U.S. federal taxpayer identification number', is used by the IRS as an identification number for tax administration. This number can be requested via www.irs.gov.

1. For residents and citizens of the United States, this number is the 'Social Security Number' (SSN). You can request a social security number via IRS form SS-5 or www.irs.gov.
2. Non-U.S. individuals who are United States taxpayers can request an 'Individual Tax Identification Number' (ITIN) via IRS form W-7 or www.irs.gov.
3. For organizations and employers, the U.S. TIN is the Employer Identification Number (EIN). This number may be requested via IRS form SS-4 or www.irs.gov. More information is available about identification numbers and the process to request these numbers on www.irs.gov.

13. TERMS AND CONDITIONS OF THE UOB ASSET MANAGEMENT (MALAYSIA) BERHAD SUBSCRIPTION TRANSACTION

Please read the following Terms and Conditions before completing the Subscription Form. By applying for units in any Fund(s) managed by UOB Asset Management (Malaysia) Berhad ("UOBAM"), the applicant(s) agree(s) to and will be bound by the following Terms and Conditions, the Deed and Supplemental Deed(s) (if any), the Prospectus(es) and Supplementary Prospectus (if any), Information Memorandum / Replacement Information Memorandum (if any) of the relevant Fund(s), [which Deed and Supplemental Deed(s) (if any) are hereinafter referred to collectively as "the Deed". The Prospectus(es) and Supplementary Prospectus (if any) are hereinafter referred to collectively as "the Prospectus" and information Memorandum / Replacement Information Memorandum (if any) are hereinafter referred to collectively as "the Information Memorandum"] in respect of ALL transactions. Where there are conflicting terms, the terms of the relevant Deed shall Prevail.

1. IMPORTANT POINTS TO NOTE BEFORE COMPLETING THIS FORM

- THE APPLICANT(S) MUST NOT USE CORRECTION FLUID on the Subscription Form (SF)
- Where there is ANY CORRECTION OR AMENDMENT, the APPLICANT(S) MUST SIGN next to the correction or amendment to indicate that the change is duty authorised by the applicant(s).

2. PAYMENT FOR INVESTMENT

- The complete AOF and Subscription Form should be accompanied by payment and submitted to any UOBAM's office or its authorised representatives.
- The allotment of units will be based on the date of receipt of a complete purchase request subject to clearance of the cheque and terms and conditions as stated in the Prospectus of the relevant Fund(s). Cheque/ bank draft must be made payable to "UOBAM – Subscription Account (Client's Trust Account)".
- UOBAM does not accept any cheque issued by a third party for the account of the applicant(s) (referred to as "third party cheques").
- Please refer to the Subscription Form Annexure for the account details.

3. RIGHTS OF UOBAM

UOBAM reserves the right to:

- accept or reject any applications in whole or in part without assigning any reason whatsoever;
- request for additional documents from the applicant to support the application; and
- vary these Terms and Conditions at any time without notifying the applicant(s).

4. CHANGE OF APPLICATION DETAILS

UOBAM must be notified immediately in writing of any change to the correspondence address or resident status or other particulars stated in this form. If the applicant is a Malaysian company, the applicant is required to submit a certified true copy of Form 44 (Notice if Situation of Registered Office and Office Hours and Particulars of Changes) or equivalent to UOBAM.

5. INDEMNITY

The applicant(s) hereby agree(s) to indemnify UOBAM, the Trustee(s) and any of their authorised representatives against any losses, damages, costs and expenses which may be suffered or incurred by any or all of them arising whether directly or indirectly out of or in connection with UOBAM accepting, relying on or failing to act on any instructions given by or on behalf of the applicant(s) unless due to the wilful default or negligence of UOBAM.

6. NOTICES

Notices and communication are sent at the risk of the applicant(s). UOBAM shall not be liable for the interruption, error or failure in transmission or delivery of notices unless due to its wilful default or negligence.

7. ELIGIBILITY

Corporate Applicant

Corporate Applicants are required to submit the following documents along with the Account Opening Form to UOBAM:

Entity	Documents Required			
	Certificate of Incorporation	Form 24 & Form 49 (or equivalent)	Memorandum and Articles of Association or Constitution or By Laws	Company Resolution (include specimen signatures)
Malaysian Company (Bhd/Sdn Bhd)	✓	✓	✓	✓
Society/ Association/ Cooperative/ Government Body	✓		✓	✓
Sole Proprietor	✓ (include certified true copy of NRIC or passport)			
Pension Fund	✓	✓	✓	✓

UOBAM reserves the right to request additional documents to support application.

8. LEGAL AND TAX IMPLICATIONS

The application shall acquaint himself with the relevant tax laws and exchange control regulations in force in the countries of the applicant's citizenship, residence or domicile.

9. PERSONAL DATA NOTICE

In compliance with the requirements of the Personal Data Protection Act 2010 ("the PDPA"), we are required to give you notice of the manner we deal with your Personal Data (as defined in the PDPA) and would like to highlight the choices you have to access or limit our access and means of processing your Personal Data. The full terms are as set out in attached Personal Data Notice.

14. PERSONAL DATA NOTICE

UOB Asset Management (Malaysia) Berhad (“the Company”, “our”, “us”, or “we”) is a holder of a Capital Markets Services License issued under the Capital Market and Services Act 2007 permitting the Company to carry out regulated activities of fund management and dealings in securities (restricted to unit trust).

In the course of the Company carrying out the aforesaid permitted activities, the Company may collect, record, hold, store or process your Personal Data (as defined in the Personal Data Protection Act 2010). We have always (and will continue to do so) respected the privacy and confidentiality of all the personal information we have received and collected in the course of the provision of our services to and/or our dealings with you and taken all reasonable steps to ensure the proper safeguard of such information.

In furtherance of our commitment above, we now write, in compliance with the express requirements of the Personal Data Protection Act 2010 to inform you of the manner we deal with your Personal Data and would highlight the choices you have to access or limit our access and means of processing your Personal Data.

However, we regret and are reminded to inform you that any such limit action of access or use of your Personal Data which are obligatory for you to supply to us may affect the provision of the services and products you have requested of us.

Description of the Personal Data We Collect and Process

Although the precise details and scope of the Personal Data collected may vary according to the services and products you have requested for and the specific purposes of use, the Personal Data usually collected for processing will be as follows:

1. Name;
2. Contact Details;
3. NRIC/Passport Numbers (For Business/Body Corporate(s) Business/ Company Registration Details);
4. Nationality (Resident or Non-Resident);
5. Financial Data relevant to your Account with UOBAMM including data relating to your accounts with other financial institutions
6. Other Personal Data derived from:
 - Supporting Documents such as Board Resolutions and Letter of Authorization, Forms 44 (Notice of Registered Office etc.), 49 (Register of Directors etc.), Form 25 (Particulars of Share Allotment), Specimen Signatures Forms
 - All responses and information gathered from the client/investor during the conduct of a suitability or similar other assessments carried out by us before any recommendation of any product or services;
 - Legal Documentation such as Power of Attorney;
7. Gender and Race;
8. Other Data obtain from:-
 - your employers;
 - credit reporting agencies/bureaus and statutory/regulatory agencies including the Companies Commission of Malaysia and Bank Negara Malaysia;
 - financial institutions such as banks (for example, that arising from the opening or closing of accounts) and related financial service providers;
 - other sources which you have given your consent to share and disclose personal information relating to you; and
 - your professional advisers including your tax and legal consultants.

The Purposes for Collection and Processing of Personal Data

The Personal Data collected will be processed for the following purposes:-

1. To assess your application for our products and services (including credit and risk evaluation and review of your portfolio and investment mandates) and subsequent reviews of your account(s) with us;
2. For management of your account (including risk management and audit) and to facilitate the operational processes in order to provide the services and products applied for or requested by you;
3. To comply with relevant local and foreign legal or regulatory requirements (including AMLA checks, compliance and reporting requirements) or as directed by any regulatory or government authorities/agencies including the Securities Commission and Bank Negara Malaysia or as directed by any professional organizations of which we are a member or which has oversight over us such as the Federation of Investment Management Malaysia;
4. For administrative and operational purposes including the maintenance and updating of our records/database, billing/payment purposes and research reporting;
5. For determination of your indebtedness and debt recovery purposes;
6. To keep you duly notified of new products or services and promotions offered by us or our related or affiliated companies by way of various notices and marketing and promotional materials and emails or phone calls;

7. To attend to queries/concerns and investigations of your complaints' and
8. For such other purpose that is incidental or ancillary to the above purposes.

We may require your assistance if the Personal Data relating to other persons is required to process your Personal Data for the above purposes and you hereby agree to use your best endeavors to assist us when required.

Disclosure of Your Personal Data

Your Personal Data will always be kept private and confidential but we may disclose your Personal Data to the following parties:-

1. Our Directors, managers and officers and dealer representatives for purposes relating to your application and subscription to our products and services
2. Our parent company and other companies within the UOB Group and other affiliated companies;
3. Regulatory or government authorities such as the Securities Commission, Bank Negara Malaysia and Bursa Malaysia and Inland Revenue Bureau and the Monetary Authority of Singapore (which regulates our holding in Singapore) and other regulatory (in Malaysia or elsewhere) or any professional organizations of which we are a member or which has oversight over us such as Federation of Investment Manager of Malaysia;
4. As required or authorized by or under any law or by Order of Court or other legal process or regulatory directive issued by a law enforcement agency;
5. Any third party service providers or agents who have been appointed by you or us to provide ancillary services to us whether in or outside Malaysia (subject to adequate security and data protection controls put in place and/or them providing confidentiality undertakings) including but not limited to trustees/custodians, brokers, analysts and market researchers;
6. Rating agencies and market research companies;
7. Our auditors, legal advisers, tax and other professional advisers; and
8. Parties authorized by you (for example, your agent, authorized proxy or spouse).

Safeguarding of your Personal Data

Please take note that your Personal Data may be stored or processed to or in locations or systems in jurisdictions outside Malaysia (where necessary to facilitate the provision of our services and products to you) subject to those jurisdictions having similar data protection laws in place and/or our securing reciprocal confidentiality undertakings. Please be assured that we will take all necessary practical steps including but not limited to incorporating reasonable security measures into any equipment in which your Personal Data is stored, to protect your Personal Data from any loss, misuse or unauthorized access or disclosure.

Your Rights to Access, Correction or Updating of your Personal Data

You may request for access to your Personal Data and be able to correct that part of your Personal Data which is inaccurate, incomplete, misleading or not up-to-date except where compliance with such a request to access or correction may be refused under the Personal Data Protection Act 2010 or other applicable laws or regulations and we may charge a prescribed fee for attending to any written request to access your Personal Data.

Save as aforesaid, you remain responsible for informing us of any changes or updates to your Personal Data provided to us.

Any such requests or inquiries with respect to this matter may be addressed to: PDPA Contact Person

Telephone No.: 603 - 2732 1181

E-mail address: UOBAMCustomerCareMY@UOBgroup.com

UOB Asset Management (Malaysia) Berhad reserves its right to amend and/or update this Notice at any time and will provide you with such amendments or updates via suitable means.

In the event of any inconsistency between the English version and the Bahasa Malaysia version of this Notice, the English version shall prevail over the Bahasa Malaysia Version.

UOB Asset Management (Malaysia) Berhad ("Syarikat" atau "kami") adalah pemegang Lesen Perkhidmatan Pasaran Modal yang dikeluarkan di bawah Akta Pasaran Modal dan Perkhidmatan 2007 yang membenarkan Syarikat untuk menjalankan aktiviti-aktiviti pengurusan dana terkawal dan berniaga dalam sekuriti (terhad kepada amanah unit).

Dalam perjalanan Syarikat menjalankan aktiviti-aktiviti dibenarkan tersebut, Syarikat boleh mengumpul, merekod, memegang, menyimpan atau memproses Data Peribadi anda (seperti yang ditakrifkan dalam Akta Perlindungan Data Peribadi 2010). Kami sentiasa (dan akan terus melakukan sedemikian) menghormati privasi dan kesulitan semua maklumat peribadi yang kami telah terima dan kumpul dalam perjalanan pemberian perkhidmatan kami kepada dan/atau urusan kami dengan anda dan telah mengambil semua langkah-langkah munasabah untuk memastikan keselamatan wajar maklumat tersebut.

Dalam pelanjutan komitmen kami di atas, kami kini menulis, dalam mematuhi kehendak-kehendak nyata Akta Perlindungan Data Peribadi 2010 untuk memaklumkan anda cara-cara kami berurusan dengan Data Peribadi anda dan akan menekankan pilihan yang anda ada untuk mengakses atau mengehadkan akses kami dan cara pemprosesan Data Peribadi anda. Walau bagaimanapun, kami kesal dan diingatkan untuk memaklumkan anda bahawa apa-apa batasan akses atau penggunaan Data Peribadi anda yang wajib untuk anda berikan kepada kami boleh menjejaskan pemberian perkhidmatan dan produk yang anda telah minta daripada kami.

Deskripsi Data Peribadi yang Kami Kumpul dan Proses

Walaupun butiran dan skop yang tepat daripada Data Peribadi yang telah dikumpul boleh berubah berdasarkan perkhidmatan dan produk yang telah anda minta dan maksud-maksud khusus penggunaannya, Data Peribadi umum yang lazim dikumpul untuk diproses adalah seperti yang berikut:

1. Nama;
2. Butiran hubungan;
3. Nombor-nombor KP/Pasport (untuk Perniagaan/Badan Korporat(-Korporat) -Perniagaan/Butiran Pendaftaran Syarikat);
4. Warganegara (Residen atau Bukan Residen);
5. Data Kewangan yang relevan kepada Akaun anda dengan UOBAMM termasuk data berkaitan akaun-akaun anda dengan institusi-institusi kewangan lain;
6. Data Peribadi Lain yang diperoleh daripada:-
 - Dokumen-dokumen Sokongan seperti Resolusi Lembaga Pengarah dan Surat Pemberian Kuasa, Borang 44 (Notis Pejabat Berdaftar dll), 49 (Daftar Pengarah dll), Borang 25 (Butiran Pembahagian Saham), Borang-Borang Tandatangan Spesimen
 - Semua jawapan dan maklumat yang telah dikumpul oleh pelanggan /pelabur semasa pengendalian suatu kesesuaian atau penilaian serupa yang lain yang dijalankan oleh kami sebelum apa-apa pengesyoran mana-mana produk atau perkhidmatan;
 - Dokumentasi Undang-Undang seperti Surat Kuasa Wakil;
7. Jantina dan Bangsa;
8. Data lain yang diperoleh daripada:-
 - majikan anda;
 - agensi dan biro pelaporan kredit dan agensi berkanun/kawalselia termasuk Suruhanjaya Syarikat Malaysia dan Bank Negara Malaysia;
 - institusi-institusi kewangan seperti bank (seperti contoh, yang timbul daripada pembukaan atau penutupan akaun) dan pembekal-pembekal perkhidmatan kewangan yang berkaitan;
 - sumber-sumber lain yang mana anda telah memberikan persetujuan anda untuk dikongsi dan diizinkan maklumat peribadi yang berkaitan dengan anda; dan
 - penasihat-penasihat profesional anda termasuk perunding cukai dan undang-undang anda.

Maksud-maksud untuk Mengumpul dan Memproses Data Peribadi

Data Peribadi yang telah dikumpul akan diproses untuk maksud-maksud berikut:-

1. Untuk menilai permohonan anda untuk produk dan perkhidmatan kami (termasuk penilaian kredit dan risiko dan mengkaji semula portfolio dan mandat-mandat pelaburan anda) dan kajian semula kemudiannya akaun(-akaun) anda dengan kami;
2. Untuk pengurusan akaun anda (termasuk pengurusan risiko dan audit) dan untuk memudahkan proses-proses operasi untuk memberikan perkhidmatan dan produk yang dipohon atau diminta oleh anda;
3. Untuk mematuhi kehendak-kehendak undang-undang atau kawalselia tempatan dan asing yang berkaitan (termasuk pemeriksaan AMLA, kehendak-kehendak pematuan dan pelaporan) atau seperti yang diarahkan oleh mana-mana pihak-pihak berkuasa/agensi kawalselia atau kerajaan termasuk Suruhanjaya Sekuriti dan Bank Negara Malaysia atau seperti yang diarahkan oleh mana-mana organisasi profesional di mana kami adalah ahli atau yang mana mempunyai pengawasan ke atas kami seperti Persekutuan Pengurus-Pengurus Pelaburan Malaysia;
4. Untuk maksud-maksud pentadbiran dan operasi termasuk penyenggaraan dan pengemaskinian rekod-rekod/pangkalan data kami, maksud-maksud pembilan/pembayaran dan pelaporan kajian;
5. Untuk penentuan keberhutangan anda dan maksud-maksud pengutipan semula hutang;

6. Untuk memastikan anda telah diberitahu tentang produk atau perkhidmatan dan promosi-promosi baru yang ditawarkan oleh kami atau syarikat-syarikat berkaitan atau gabungan kami dengan cara-cara pelbagai notis dan bahan-bahan pemasaran dan promosi serta emel-emal atau panggilan-panggilan telefon;
7. Untuk mendengar pertanyaan-pertanyaan/keimbangan -keimbangan dan penyiasatan aduan-aduan anda; dan
8. Untuk maksud-maksud lain yang bersampingan atau berdampingan kepada maksud-maksud di atas.

Kami boleh menghendaki bantuan anda jika Data Peribadi yang berhubungan dengan orang lain dikehendaki untuk memproses Data Peribadi anda untuk maksud-maksud di atas dan anda dengan ini bersetuju untuk menggunakan usaha terbaik anda untuk membantu kami bila dikehendaki.

Penzahiran Data Peribadi Anda

Data Peribadi anda akan sentiasa disimpan secara peribadi dan sulit tetapi kami boleh menzahirkan Data Peribadi anda kepada pihak-pihak yang berikut:-

1. Pengarah-pengarah, pengurus-pengurus dan pegawai-pegawai dan wakil-wakil perniagaan kami untuk maksud-maksud berkaitan dengan permohonan dan pelanggan anda dengan produk dan perkhidmatan kami;
2. Syarikat induk kami dan syarikat-syarikat lain di dalam Kumpulan UOB dan syarikat-syarikat gabungan lain;
3. Pihak-pihak berkuasa pengawalseliaan atau kerajaan seperti Suruhanjaya Sekuriti, Bank Negara Malaysia dan Bursa Malaysia dan Biro Hasil Dalam Negeri dan Penguasa Kewangan Singapura (yang mengawal selia syarikat pemegang kami di Singapura) dan pengawalselia lain (di Malaysia atau di mana-mana) atau mana-mana organisasi profesional di mana kami adalah ahli atau yang mana mempunyai pengawasan ke atas kami seperti Persekutuan Pengurus-Pengurus Pelaburan Malaysia;
4. Seperti yang dikehendaki atau dibenarkan oleh atau di bawah mana-mana undang-undang atau oleh Perintah Mahkamah atau proses undang-undang lain atau arahan pengawalseliaan yang dikeluarkan oleh agensi penguatkuasaan undang-undang;
5. Mana-mana pembekal-pembekal perkhidmatan ketiga atau agen-agen yang telah dilantik oleh anda atau kami untuk memberikan perkhidmatan sampingan kepada kami sama ada di dalam atau di luar Malaysia (tertakluk kepada keselamatan yang mencukupi dan mempunyai kawalan data peribadi dan/atau mereka memberikan akujanji sulit) termasuk tetapi tidak terhad kepada pemegang-pemegang amanah/penjagaan, broker-broker, penganalisis-penganalisis dan pengkaji-peribadi pemegang-pengkaji pasaran;
6. Agensi-agensi perkadaran dan syarikats-yarikat kajian pasaran;
7. Juruadit, penasihat undang-undang, penasihat cukai dan penasihat profesional kami yang lain; dan
8. Pihak-pihak yang diberikuasa oleh anda (sebagai contoh, agen, proksi diberikuasa, atau pasangan anda).

Penjagaan Data Peribadi anda

Sila ambil perhatian bahawa Data Peribadi anda boleh disimpan atau diproses ke atau di lokasi-lokasi atau sistem-sistem dalam bidang kuasa di luar Malaysia (di mana diperlukan untuk memudahkan pemberian perkhidmatan -perkhidmatan dan produk kami kepada anda) tertakluk kepada bidang kuasa tersebut mempunyai undang-undang perlindungan data serupa dan/atau kami dapat memastikan akujanji kesulitan bersaling.

Sila diyakinkan bahawa kami akan mengambil semua langkah-langkah praktikal yang diperlukan termasuk tetapi tidak terhad kepada menggabungkan langkah-langkah keselamatan yang munasabah ke dalam apa-apa kelengkapan yang dalamnya Data Peribadi anda disimpan, untuk melindungi Data Peribadi anda daripada apa-apa kehilangan, salah guna, akses atau penzahiran tanpa kebenaran.

Hak-Hak Anda kepada Akses, Pembetulan atau Mengemaskini Data Peribadi anda

Anda boleh meminta untuk mengakses Data Peribadi anda dan boleh membetulkan bahagian tersebut dalam Data Peribadi anda yang tidak tepat, tidak lengkap, mengelirukan atau tidak terkini kecuali jika pematuan dengan permintaan untuk akses atau pembetulan boleh dianggarkan di bawah Akta Perlindungan Data Peribadi 2010 atau undang-undang atau peraturan-peraturan terpakai lain dan kami boleh mengecapi fi yang ditetapkan untuk melayani apa-apa permintaan bertulis untuk mengakses Data Peribadi anda.

Kecuali seperti yang terdahulu dinyatakan, anda masih bertanggungjawab untuk memaklumkan kepada kami mengenai apa-apa perubahan atau pengemaskinian terhadap Data Peribadi anda yang telah diberikan kepada kami. Apa-apa permintaan atau pertanyaan berkenaan perkara ini boleh ditujukan kepada:-

Pegawai PDPA

No. Telefon: 603 - 2732 1181

Alamat E-mel: UOBAMCustomerCareMY@UOBgroup.com

UOB Asset Management (Malaysia) Berhad berhak untuk meminda dan/atau mengemaskini Notis ini pada bila-bila masa dan akan memberikan anda dengan pindaan tersebut atau pengemaskinian melalui cara-cara

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